

# **Pattern Formation in Magnetically Confined Plasmas: Why it Matters**

P.H. Diamond

Dept. of Physics, CASS; UCSD

Physics Colloquium, University of Alberta

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## Collaborators: (partial list)

- Yusuke Kosuga → Kyushu Univ., Japan
- Guilhem Dif-Pradalier → CEA, France
- Ozgur Gurcan → Ecole Polytechnique, France
- Arash Ashourvan → PPPL
- Zhibin Guo → UCSD

# Magnetically confined plasma → tokamaks

- Nuclear fusion: option for generating large amounts of carbon-free energy – “30 years in the future and always will be... “
- Challenge: ignition -- reaction release more energy than the input energy

Lawson criterion:

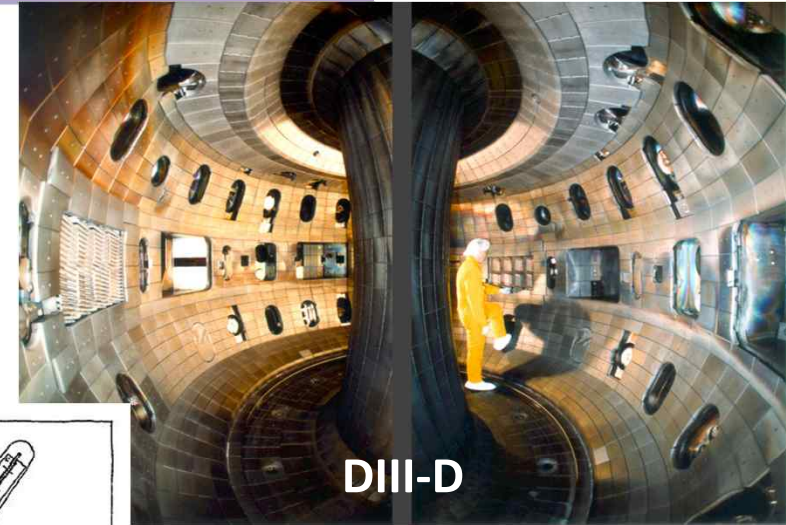
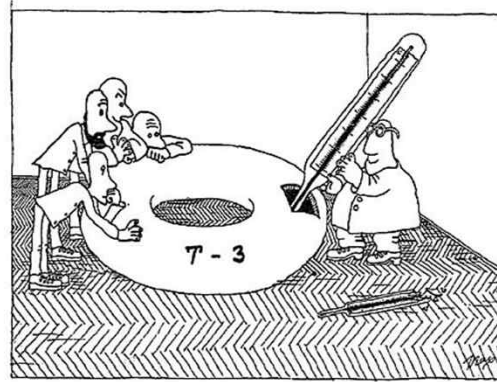
$$n_i \tau_E T_i > 3 \times 10^{21} \text{m}^{-3} \text{s keV}$$



→ confinement

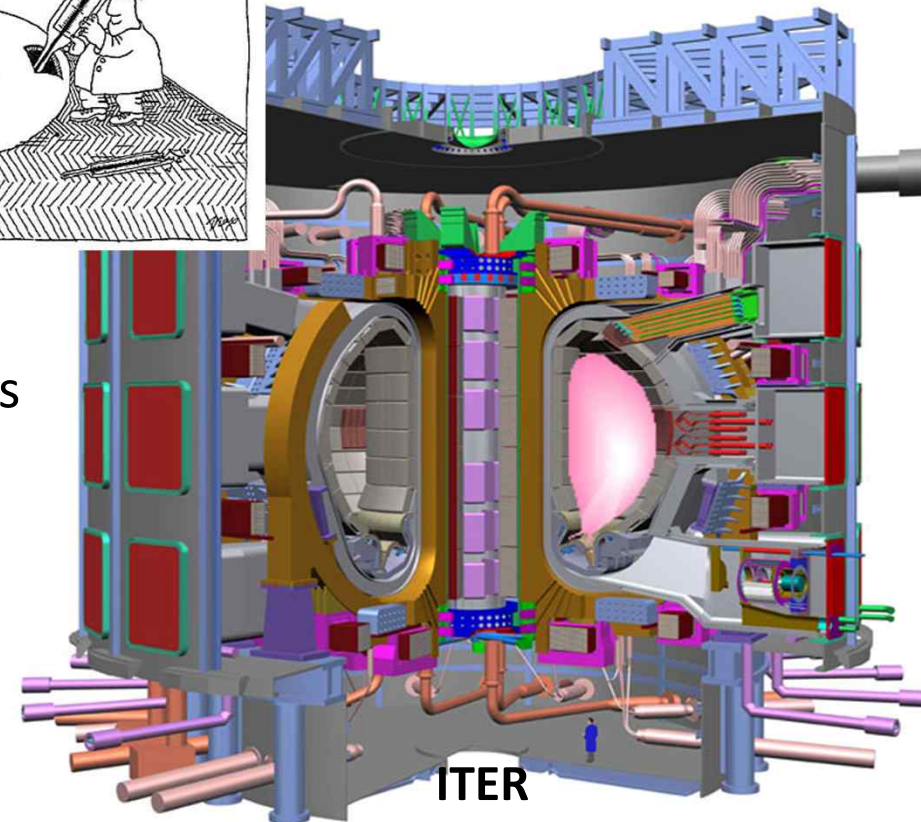
→ turbulent transport

$$\tau_E \sim \frac{W}{P_n}$$



DIII-D

- Turbulence: instabilities and collective oscillations  
→ low frequency modes dominate the transport ( $\omega < \Omega_{ci}$ )
- Key problem: Confinement, especially scaling

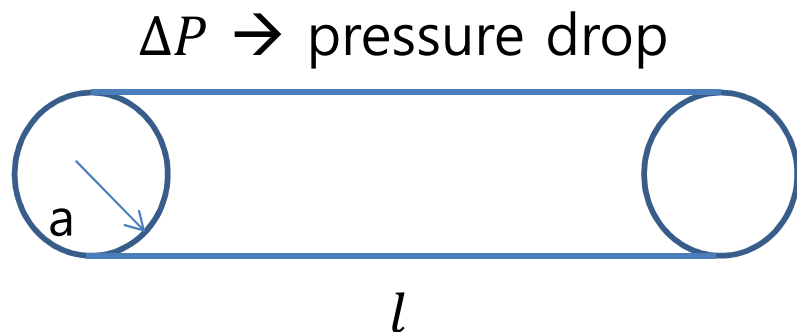


ITER

**A Simpler Problem:**

**→ Drag in Turbulent Pipe Flow**

- Essence of confinement problem:
  - given device, sources; what profile is achieved?
  - $\tau_E = W/P_{in}$ , How optimize W, stored energy
- Related problem: Pipe flow  $\rightarrow$  drag  $\leftrightarrow$  momentum flux



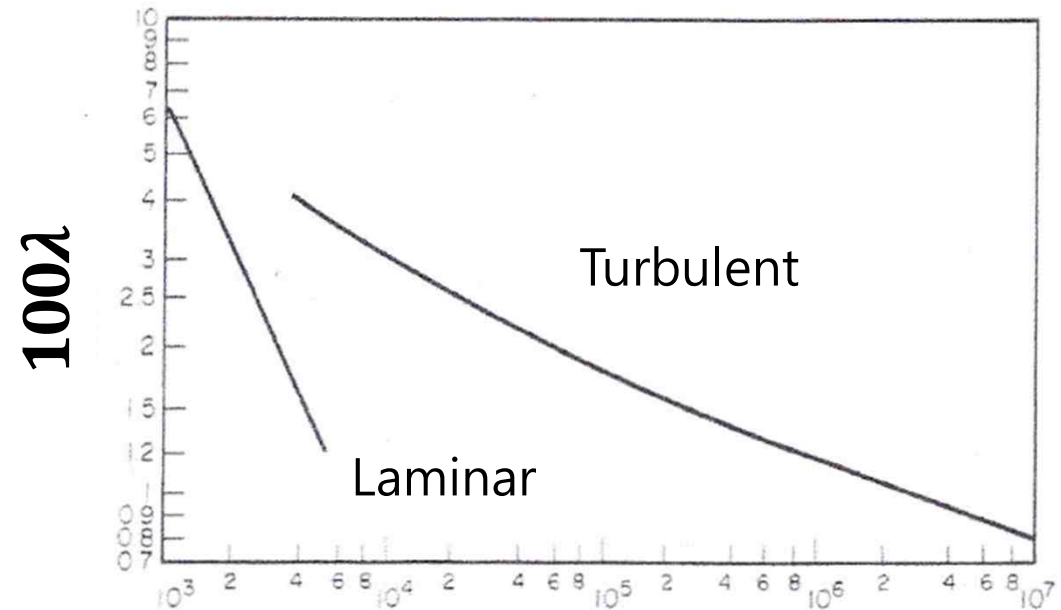
$$\Delta P \pi a^2 = \rho V_*^2 2\pi a l$$

$\rightarrow$  friction velocity  $V_* \leftrightarrow u$

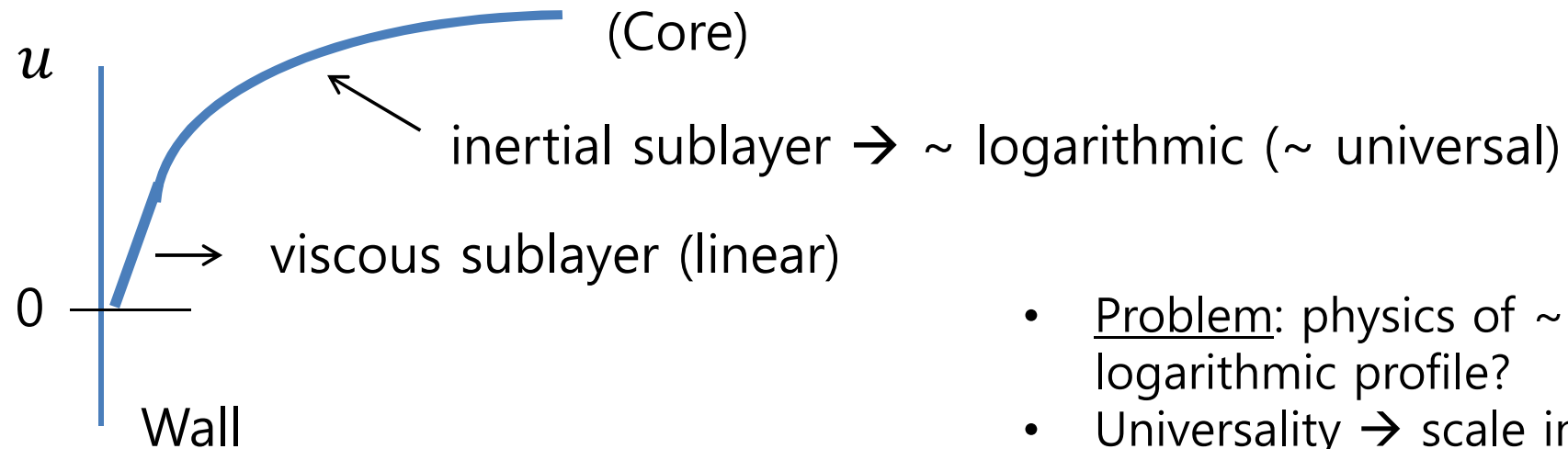
Balance: momentum transport to wall

(Reynolds stress) vs  $\Delta P$

$\rightarrow$  Flow velocity profile



$$\lambda = \frac{2a\Delta P/l}{1/2\rho u^2}$$



- Problem: physics of  $\sim$  universal logarithmic profile?
- Universality  $\rightarrow$  scale invariance

- Prandtl Mixing Length Theory (1932)

– Wall stress =  $\rho V_*^2 = -\rho v_T \partial u / \partial x$       or:  $\frac{\partial u}{\partial x} \sim \frac{V_*}{x}$

$\swarrow$  eddy viscosity       $\nwarrow$  Spatial counterpart of K41  
 $\nwarrow$  Scale of velocity gradient?

– Absence of characteristic scale  $\rightarrow$

$$\left. \begin{aligned} v_T &\sim V_* x \\ u &\sim V_* \ln(x/x_0) \end{aligned} \right\} \begin{aligned} &x \equiv \text{mixing length, distance from wall} \\ &\text{Analogy with kinetic theory ...} \end{aligned}$$

$$v_T = \nu \rightarrow x_0, \text{ viscous layer} \rightarrow x_0 = \nu/V_*$$

## Some key elements:

- Momentum flux driven process
- Turbulent diffusion model of transport - eddy viscosity
- Mixing length – scale selection
  - ~  $x \rightarrow$  macroscopic, eddys span system  $x_0 < x < a$
  - $\rightarrow$  ~ flat profile – strong mixing
- Self-similarity  $\rightarrow x \leftrightarrow$  no scale, within  $[x_0, a]$
- Reduce drag by creation of buffer layer i.e. steeper gradient than inertial sublayer (by polymer) – enhanced confinement





Without vs With Polymers  
Comparison → NYFD 1969

# Primer on Turbulence in Tokamaks I

- Strongly magnetized
  - Quasi 2D cells, Low Rossby #
  - \* – Localized by  $\vec{k} \cdot \vec{B} = 0$  (resonance) - pinning

- $\vec{V}_\perp = +\frac{c}{B} \vec{E} \times \hat{z}, \quad \frac{V_\perp}{l\Omega_{ci}} \sim R_0 \ll 1$

- $\nabla T_e, \nabla T_i, \nabla n$  driven

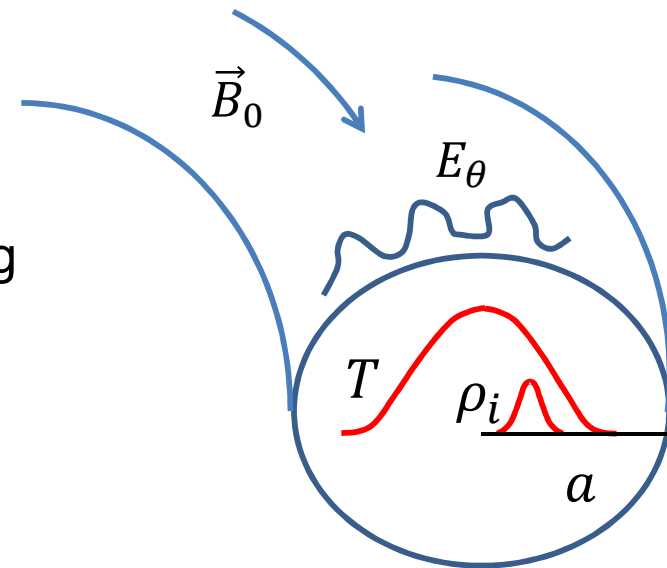
- Akin to thermal convection with:  $g \rightarrow$  magnetic curvature

→ •  $Re \approx VL/\nu$  ill defined, not representative of dynamics

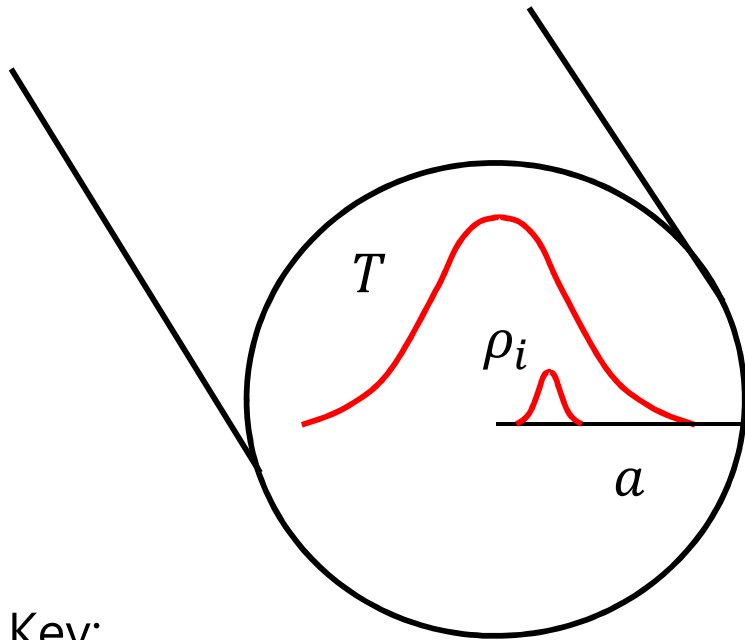
- Resembles wave turbulence, not high  $Re$  Navier-Stokes turbulence

→ •  $K \sim \tilde{V}\tau_c/\Delta \sim 1 \rightarrow Kubo \# \approx 1$

→ • Broad dynamic range, due electron and ion scales, i.e.  $a, \rho_i, \rho_e$



# Primer on Turbulence in Tokamaks II



Key:

2 scales:

$\rho \equiv$  gyro-radius

$a \equiv$  cross-section

$\rho_* \equiv \rho/a \rightarrow$  key ratio

$\rho_* \ll 1$

- Characteristic scale  $\sim$  few  $\rho_i \rightarrow$  "mixing length"
- Characteristic velocity  $v_d \sim \rho_* c_s$
- Transport scaling:  $D_{GB} \sim \rho V_d \sim \rho_* D_B$   
$$D_B \sim \rho c_s \sim T/B$$
- i.e. Bigger is better!  $\rightarrow$  sets profile scale via heat balance (Why ITER is huge...)
- Reality:  $D \sim \rho_*^\alpha D_B$ ,  $\alpha < 1 \rightarrow$  'Gyro-Bohm breaking'
- 2 Scales,  $\rho_* \ll 1 \rightarrow$  key contrast to pipe flow

# THE Question $\leftrightarrow$ Scale Selection

- Expectation (from pipe flow):

- $l \sim a$

- $D \sim D_B$

- Hope (mode scales)

- $l \sim \rho_i$

- $D \sim D_{GB} \sim \rho_* D_B$

- Reality:  $D \sim \rho_*^\alpha D_B, \quad \alpha < 1$

Why? What physics competition set  $\alpha$ ?

# The System Fundamentals: $R_0 \ll 1$ Fluids

$$(\Omega \leftrightarrow \Omega_i)$$

- **Kelvin's Theorem** for rotating system

$$\begin{array}{ccc} \omega \rightarrow \omega + 2\Omega & & \oint \mathbf{v} \cdot d\mathbf{l} = \int d\mathbf{a} \cdot (\boldsymbol{\omega} + 2\boldsymbol{\Omega}) \equiv C \\ \swarrow \quad \searrow & \longrightarrow & \\ \text{relative} \quad \text{planetary} & & \dot{C} = 0 \end{array}$$

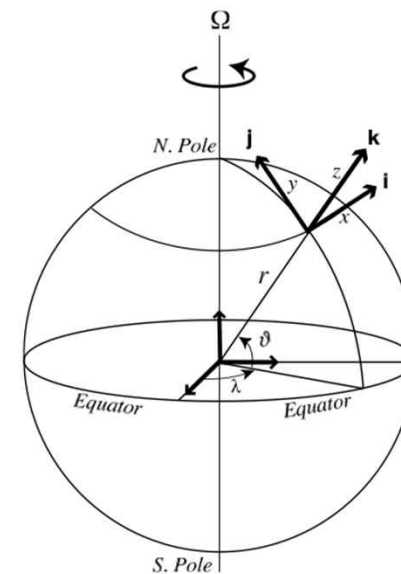
-  $R_0 = V/(2\Omega L) \ll 1 \quad \rightarrow \quad \mathbf{V} \cong -\nabla_{\perp} p \times \hat{z}/(2\Omega) \quad \text{geostrophic balance}$

$\rightarrow$  2D dynamics

- Displacement on beta plane

$$\begin{aligned} \dot{C} = 0 \quad \rightarrow \quad \frac{d}{dt}\omega &\cong -\frac{2\Omega}{A} \sin \theta_0 \frac{dA}{dt} \\ &= -2\Omega \frac{d\theta}{dt} = -\beta V_y \end{aligned}$$

$$\omega = \nabla^2 \phi \quad \beta = 2\Omega \sin \theta_0 / R$$



## Fundamentals II

- Q.G. equation  $\frac{d}{dt}(\omega + \beta y) = 0$

n.b. topography

- Locally Conserved PV  $q = \omega + \beta y$

$$q = \omega/H + \beta y$$

- Latitudinal displacement  $\rightarrow$  change in relative vorticity

- Linear consequence  $\rightarrow$  **Rossby Wave**

$$\omega = -\beta k_x / k^2$$

$\omega = 0 \rightarrow$  zonal flow

observe:  $v_{g,y} = 2\beta k_x k_y / (k^2)^2$

$\uparrow$   $\rightarrow$  Rossby wave intimately connected to momentum transport

- Latitudinal PV Flux  $\rightarrow$  circulation

→ Isn't this Talk re: Plasma?

- 2 Simple Models
- a.) Hasegawa-Wakatani (collisional drift inst.)
  - b.) Hasegawa-Mima (DW)

$$a.) \mathbf{V} = \frac{c}{B} \hat{z} \times \nabla \phi + \mathbf{V}_{pol}$$

$\rightarrow m_s$

$$L > \lambda_D \rightarrow \nabla \cdot \mathbf{J} = 0 \rightarrow \nabla_{\perp} \cdot \mathbf{J}_{\perp} = -\nabla_{\parallel} J_{\parallel}$$

$$J_{\perp} = n |e| V_{pol}^{(i)}$$

$$J_{\parallel} : \eta J_{\parallel} = -\cancel{(1/c) \partial_t A_{\parallel}} - \nabla_{\parallel} \phi + \nabla_{\parallel} p_e$$

e.s.

n.b.

MHD:  $\partial_t A_{\parallel}$  v.s.  $\nabla_{\parallel} \phi$

DW:  $\nabla_{\parallel} p_e$  v.s.  $\nabla_{\parallel} \phi$

$$b.) \quad dn_e/dt = 0$$

$$\rightarrow \frac{dn_e}{dt} + \frac{\nabla_{\parallel} J_{\parallel}}{-n_0 |e|} = 0$$

So H-W

$$\rho_s^2 \frac{d}{dt} \nabla^2 \hat{\phi} = -D_{\parallel} \nabla_{\parallel}^2 (\hat{\phi} - \hat{n}/n_0) + \nu \nabla^2 \nabla^2 \hat{\phi}$$

$$D_{\parallel} k_{\parallel}^2 / \omega$$

$$\frac{d}{dt} n - D_0 \nabla^2 \hat{n} = -D_{\parallel} \nabla_{\parallel}^2 (\hat{\phi} - \hat{n}/n_0)$$

is key parameter

→  $\langle \tilde{v}_r \tilde{n} \rangle \neq 0$   
and instability

$$\text{b.) } D_{\parallel} k_{\parallel}^2 / \omega \gg 1 \rightarrow \hat{n}/n_0 \sim e\hat{\phi}/T_e \quad (m, n \neq 0)$$

$$\frac{d}{dt} (\phi - \rho_s^2 \nabla^2 \phi) + v_* \partial_y \phi = 0 \quad \rightarrow \text{H-M}$$

$$\text{n.b. } \text{PV} = \phi - \rho_s^2 \nabla^2 \phi + \ln n_0(x) \quad \frac{d}{dt} (\text{PV}) = 0$$

An infinity of technical models follows ...



# **III) Patterns in Turbulence**

**→ Avalanches**

**→ Zonal Flows**

**→ Spatial structure of turbulence profile**

**→ Pattern selection competition**

→ “Truth is never pure and rarely simple” (Oscar Wilde)

# Transport: Local or Non-local?

- 40 years of fusion plasma modeling

- local, diffusive transport

$$Q = -n\chi(r)\nabla T, \quad \chi \leftrightarrow D_{GB}$$

- 1995 → increasing evidence for:

- transport by avalanches, as in sand pile/SOCs
- turbulence propagation and invasion fronts
- “non-locality of transport”

$$Q = -\int \kappa(r, r')\nabla T(r')dr'$$

$$\kappa(r, r') \sim S_0 / [(r - r')^2 + \Delta^2]$$

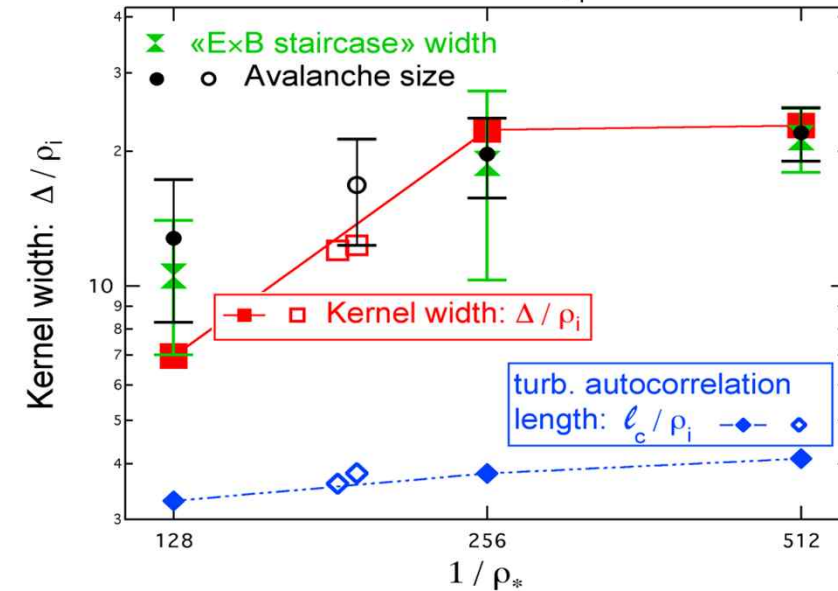
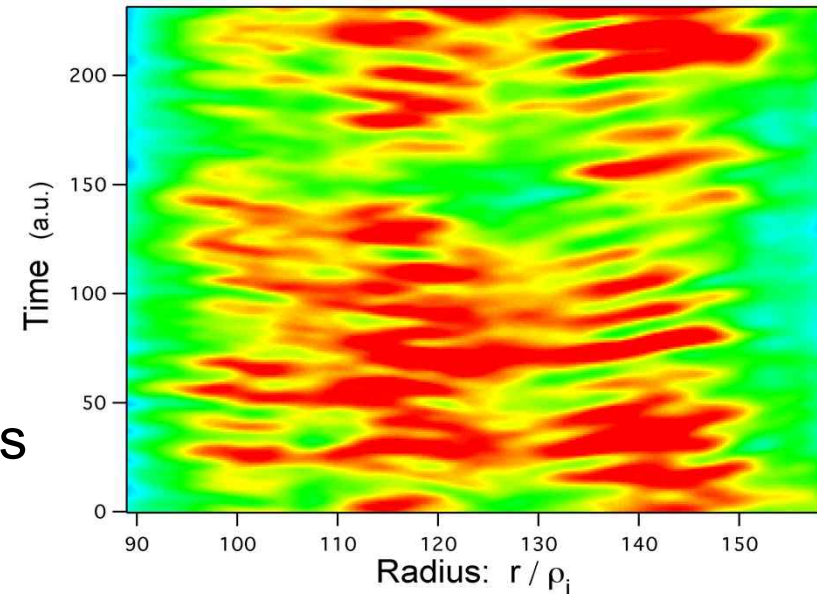
- Physics:

- Levy flights, SOC, turbulence fronts...

- Fusion:

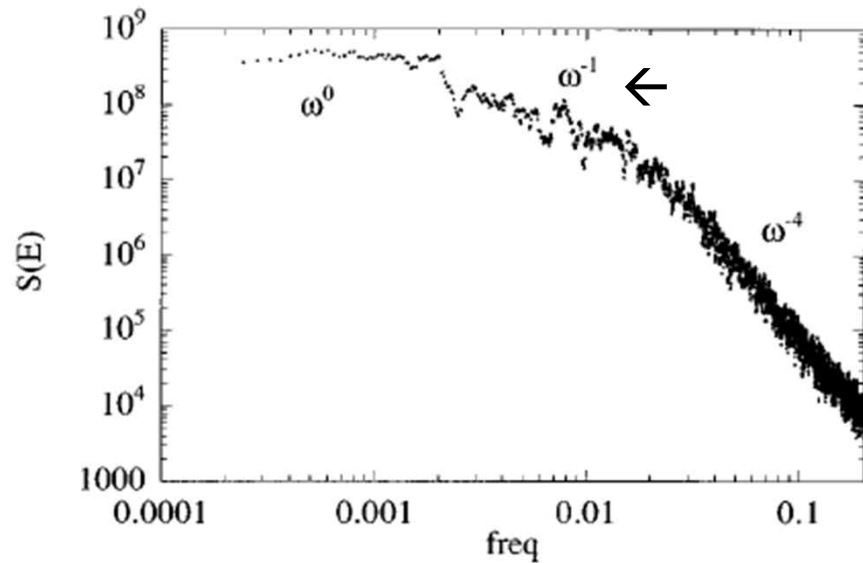
- gyro-Bohm breaking  
(ITER: significant  $\rho_*$  extension)

→ *fundamentals of turbulent transport modeling??*

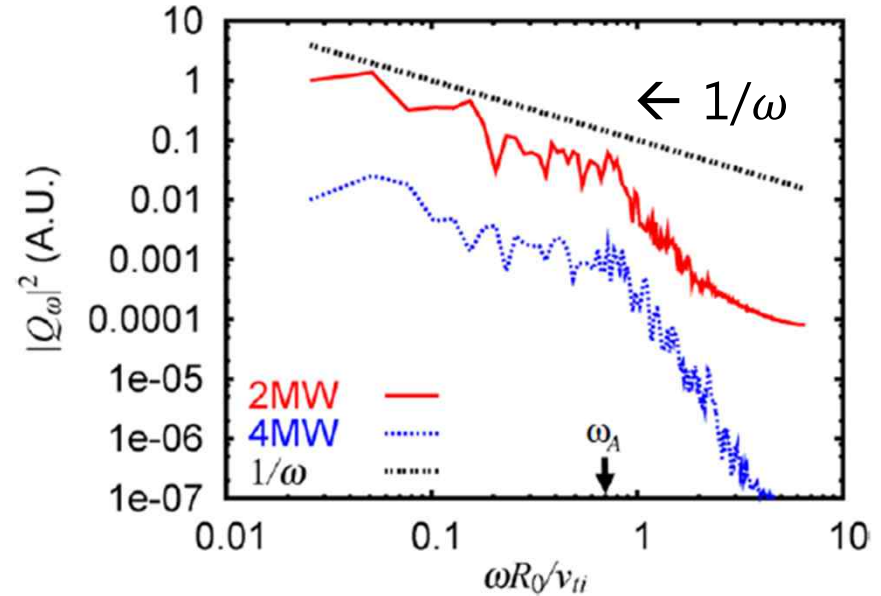


Dif-Pradalier et al. 2010

- ‘Avalanches’ form! – flux drive + geometrical ‘pinning’



Newman PoP96 (sandpile)  
(Autopower frequency spectrum of ‘flip’)



GK simulation also exhibits avalanching  
(Heat Flux Spectrum) (Idomura NF09)

- Avalanching is a likely cause of ‘gyro-Bohm breaking’ → Intermittent Bursts

➔ localized cells self-organize to form transient, extended transport events

- Akin domino toppling:
- Natural route to scale invariance on  $[a, \Delta_c \sim \rho_i]$



Toppling front can penetrate beyond region of local stability

# Origin:

- Cells “pinned” by magnetic geometry → resonances

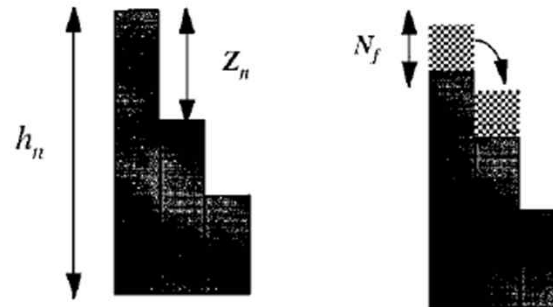
- Remarkable

## Similarity:

TABLE I. Analogies between the sandpile transport model and a turbulent transport model.

Turbulent transport in toroidal plasmas	Sandpile model
Localized fluctuation (eddy)	Grid site (cell)
<i>Local turbulence mechanism:</i>	<i>Automata rules:</i>
Critical gradient for local instability	Critical sandpile slope ( $Z_{\text{crit}}$ )
<i>Local eddy-induced transport</i>	Number of grains moved if unstable ( $N_f$ )
Total energy/particle content	Total number of grains (total mass)
Heating noise/background fluctuations	Random rain of grains
Energy/particle flux	Sand flux
Mean temperature/density profiles	Average slope of sandpile
Transport event	Avalanche
Sheared electric field	Sheared flow (sheared wind)

Automaton toppling  
↔ Cell/eddy overturning



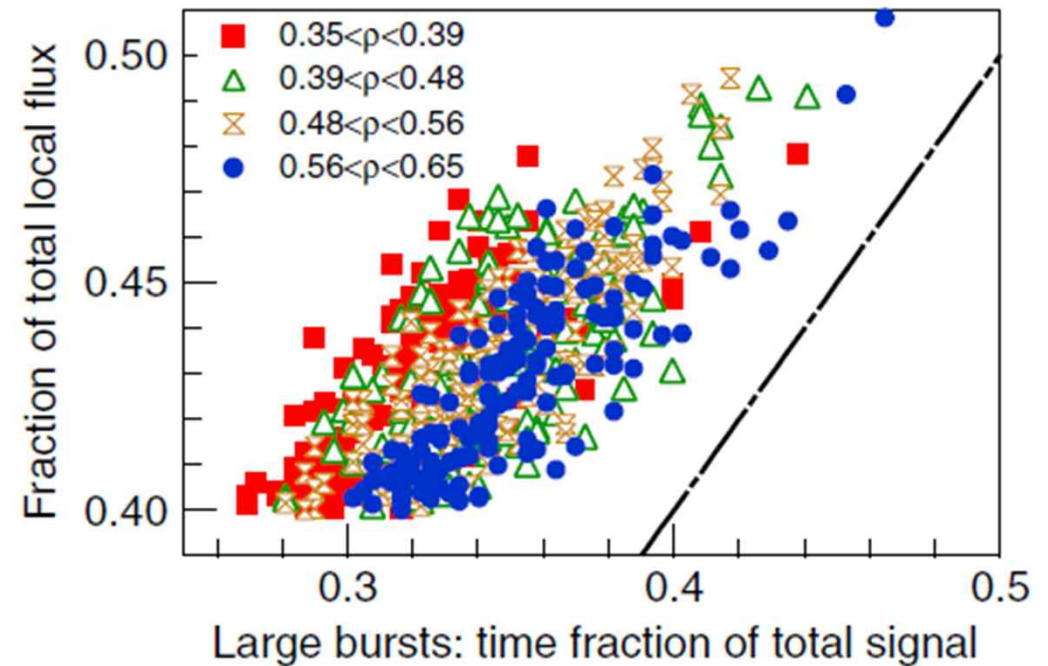
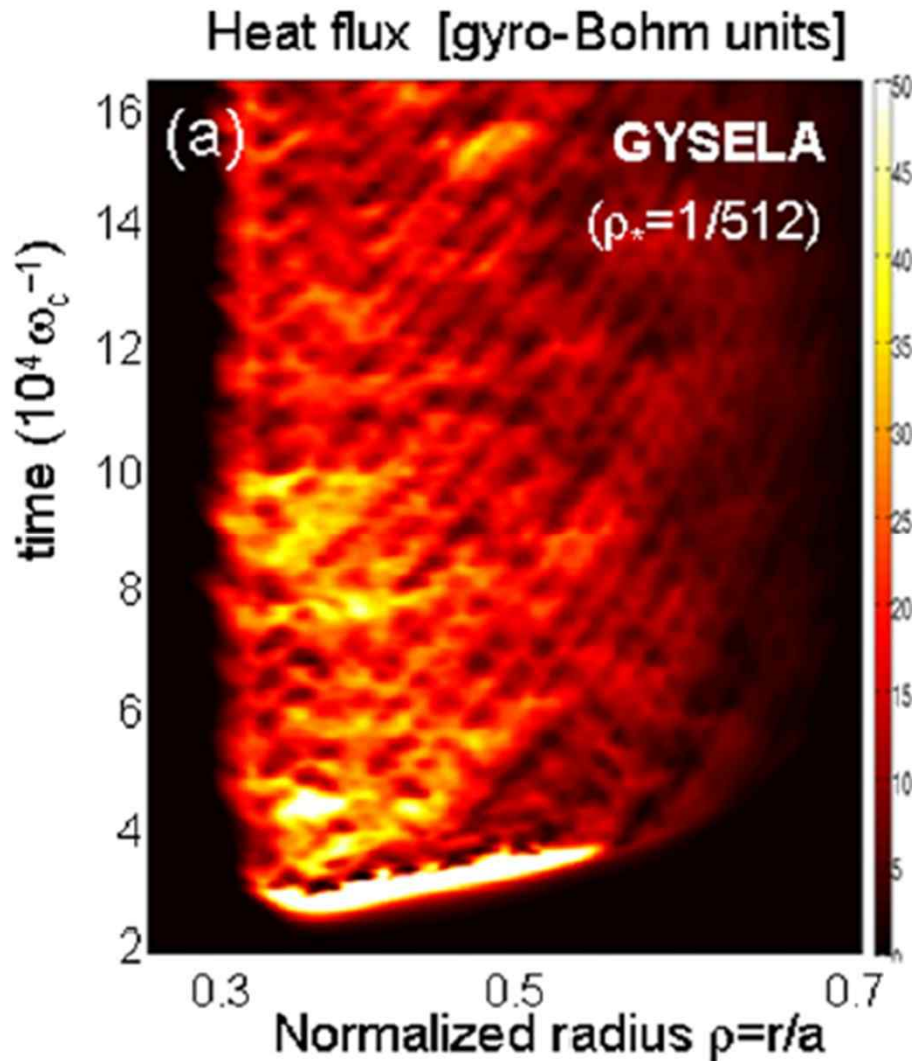
and can cooperate!

→ Avalanches happen!

FIG. 1. A cartoon representation of the simple cellular automata rules used to model the sandpile.

- GYSELA Simulation Results: Avalanches Do 'matter'

GYSELA,  $\rho_{\text{star}}=1/512$  [Sarazin et al., NF 51 (2011) 103023]

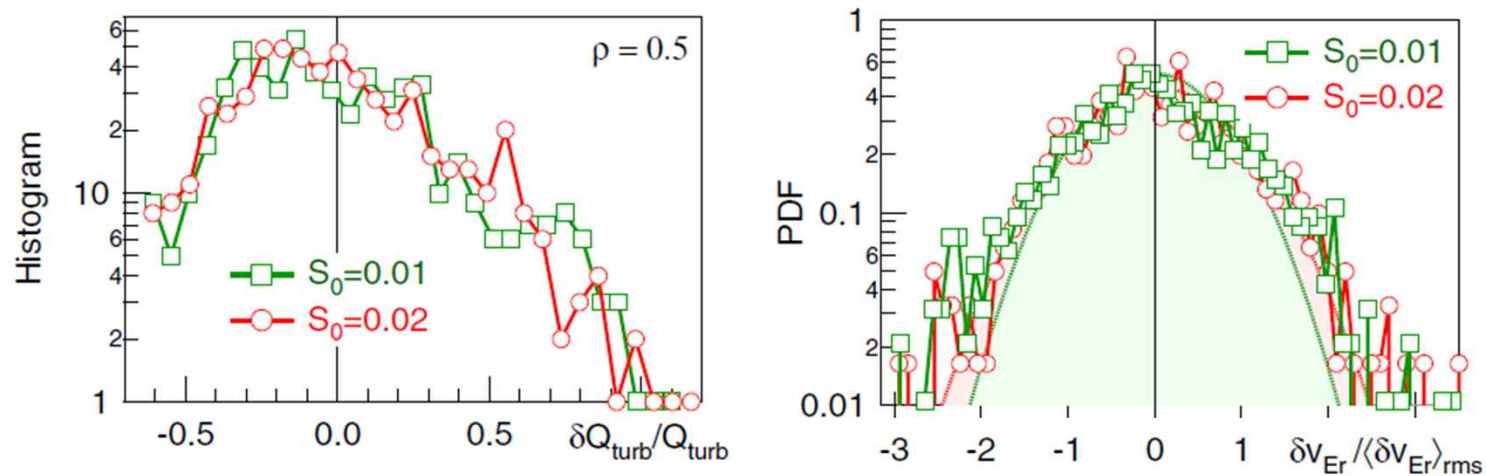


**Figure 2.** Fraction of the local radial turbulent heat flux carried out by a certain fraction of the largest scale bursts, as estimated from figure 1(a) (GYSELA data). Each point refers to one specific radial location. The colours allow one to distinguish four different radial domains. The considered time series ranges from  $\omega_{c0}t = 56\,000$  to  $\omega_{c0}t = 163\,000$ .

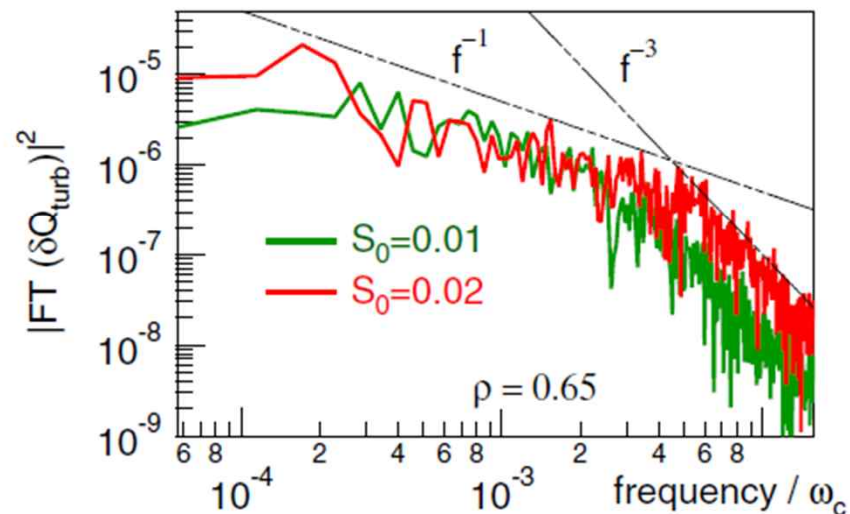
- Distribution of Flux Excursion and Shear Variation

GYSELA,  $\rho_{\text{star}}=1/64$  [Sarazin et al., NF 50 (2010) 054004]

Asymmetry!

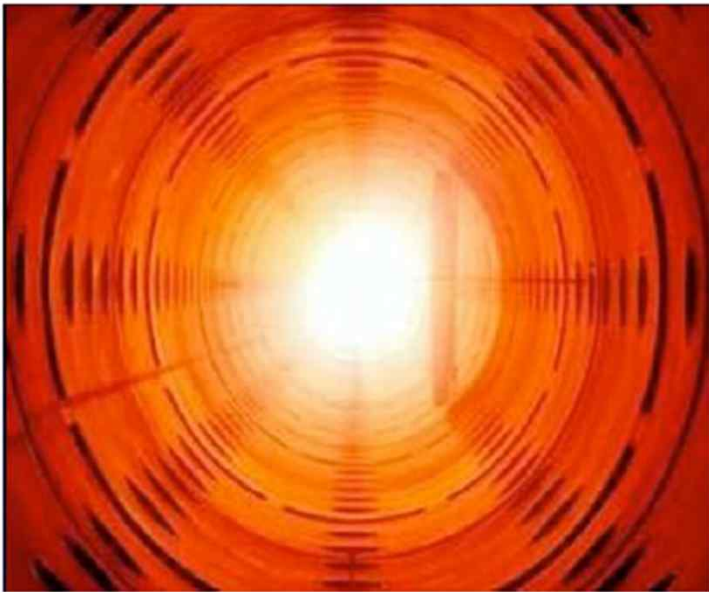
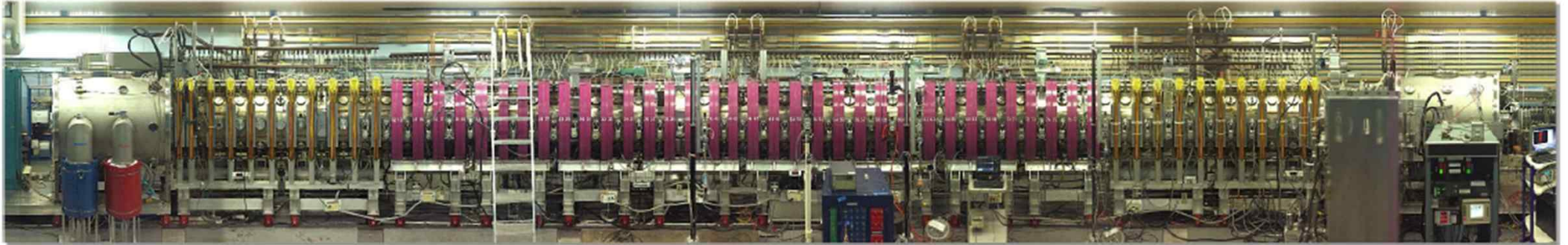


**Figure 7.** (Left) histogram of the turbulent heat flux  $Q_{\text{turb}}$  at  $\rho = 0.5$  for two magnitudes of the source ( $\rho_* = 1/64$ ).  $\delta Q_{\text{turb}}$  stands for the difference between  $Q_{\text{turb}}$  and its time average, taken over the entire non-linear saturation phase. (Right) corresponding PDF of the fluctuations of the radial component of the electric drift. (Colour online.)



**Figure 8.** Frequency Fourier spectrum of the turbulent heat flux at  $\rho = 0.65$  for two magnitudes of the source ( $\rho_* = 1/64$ ). (Colour online.)

# Large Plasma Device

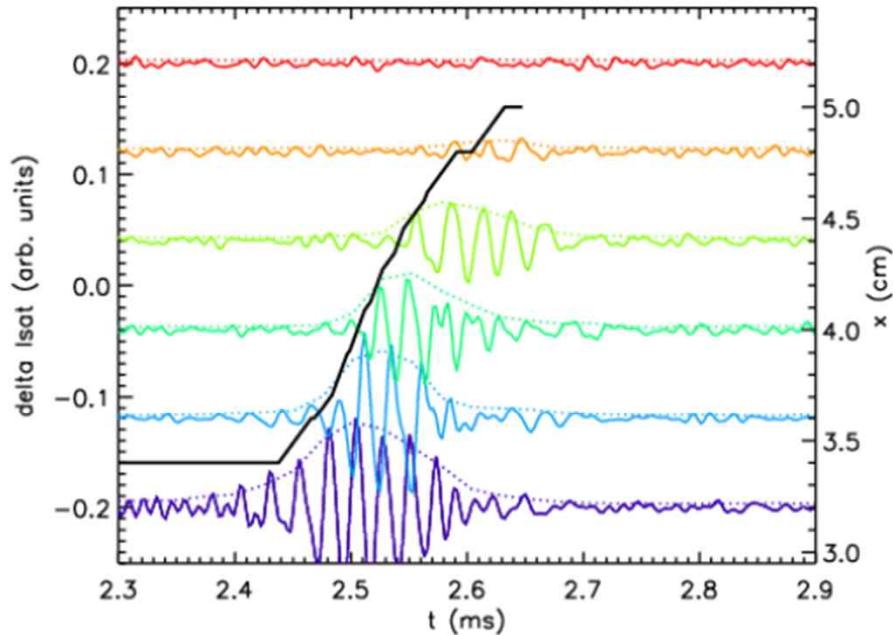


- Helium plasma
- $B_0 = 1000 \text{ G}$
- $n_e \approx 10^{12} \text{ cm}^{-3}$
- $\beta_e = 10^{-4}$

Basic Experiments on Avalanching:  
Compernelle, Sydora, et. al.

# Outer avalanche: drift wave dynamics

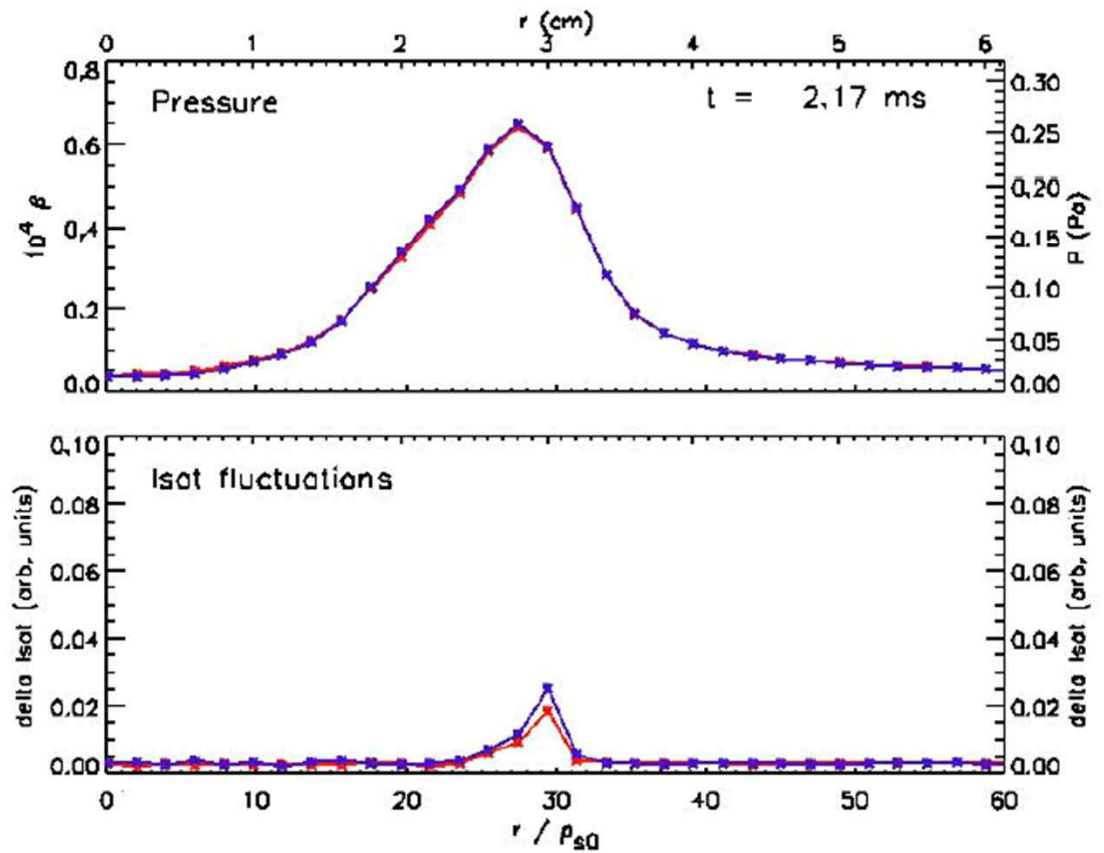
- Avalanche onset after fast growth of drift waves
- Avalanche carried radially by drift waves



Black line: position of steepest gradient

See talk by R. Sydora, CO5.00003, Monday 2:24 PM

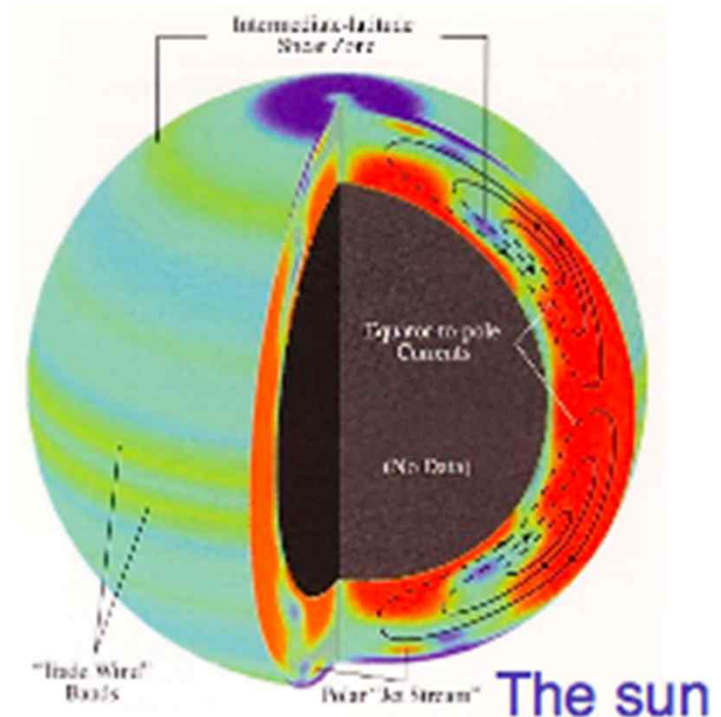
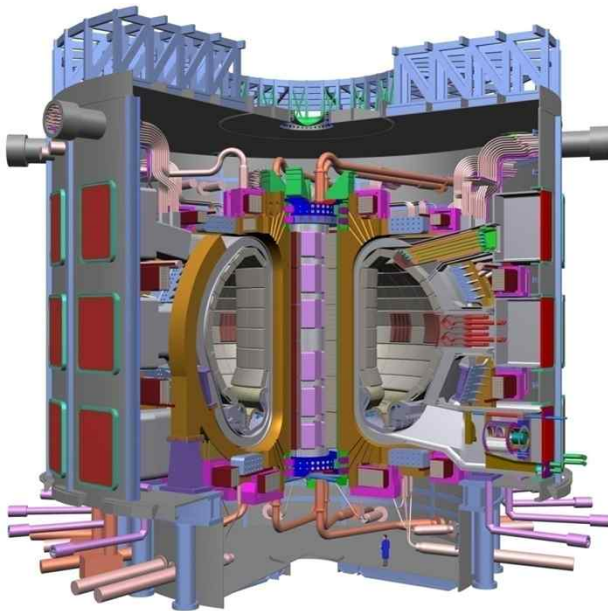
Avalanche propagation observed





# But: Shear Flows Also 'Natural' to Tokamaks

- Zonal Flows Ubiquitous for:
  - ~ 2D fluids / plasmas  $R_0 < 1$ 
    - Rotation  $\vec{\Omega}$ , Magnetization  $\vec{B}_0$ , Stratification
  - Ex: MFE devices, giant planets, stars...



# Shear Flows !? – Significance?

How is transport affected?

→ shear decorrelation!

Back to sandpile model:

2D pile +  
sheared flow of  
grains

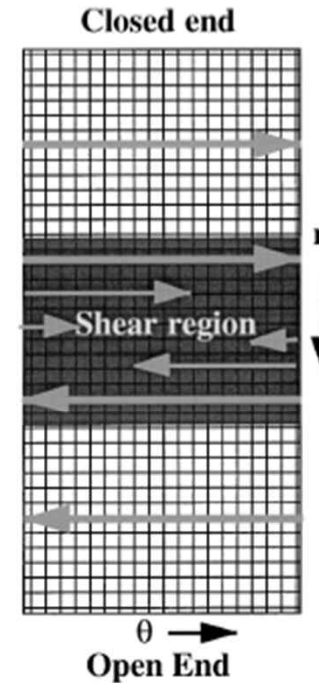


FIG. 10. A cartoon of the sandpile with a shear flow zone. The whole pile is flowing to the right at the top and to the left at the bottom connected by a variable sized region of sheared flow.

Shearing flow  
decorrelates  
Toppling sequence

Avalanche coherence destroyed by shear flow

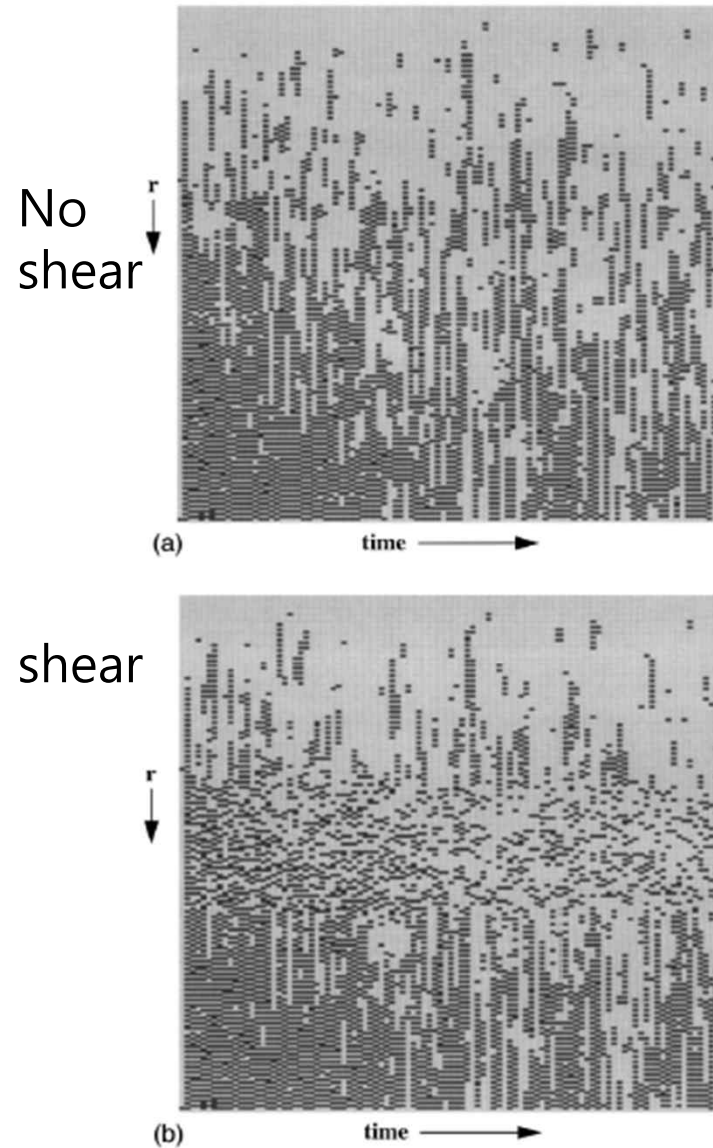
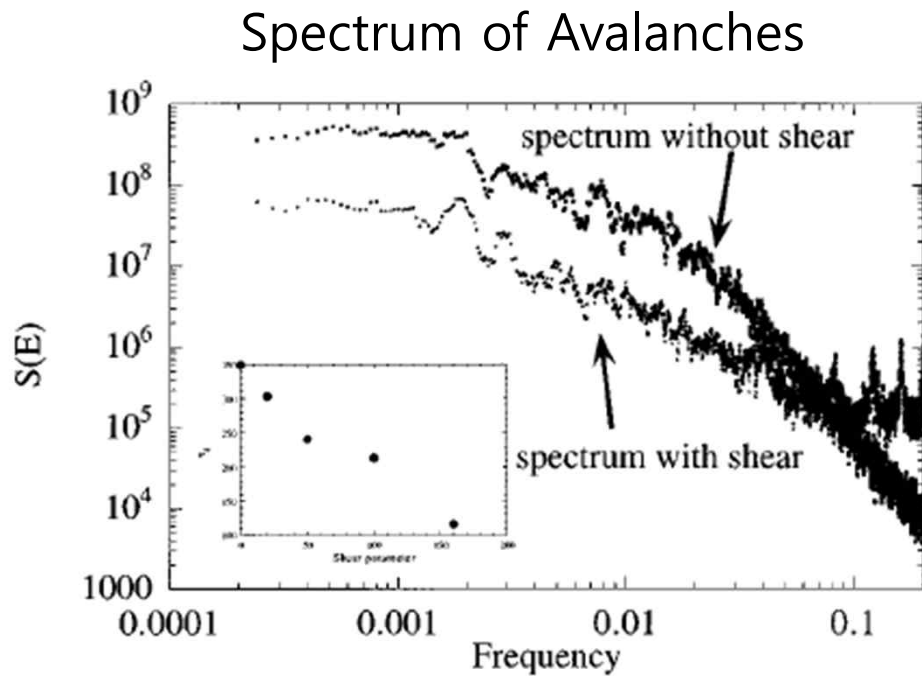


FIG. 11. Time evolution of the overturning sites (like Fig. 4). The avalanches do not appear continuous in time because only every 50th time step is shown. (a) The shear-free case shows avalanches of all lengths over the entire radius. (b) The case with sheared flow shows the coherent avalanches being decorrelated in the shear zone in the middle of the pile.

- Implications:



N.B.

- Profile steepens for unchanged toppling rules
- Distribution of avalanches changed

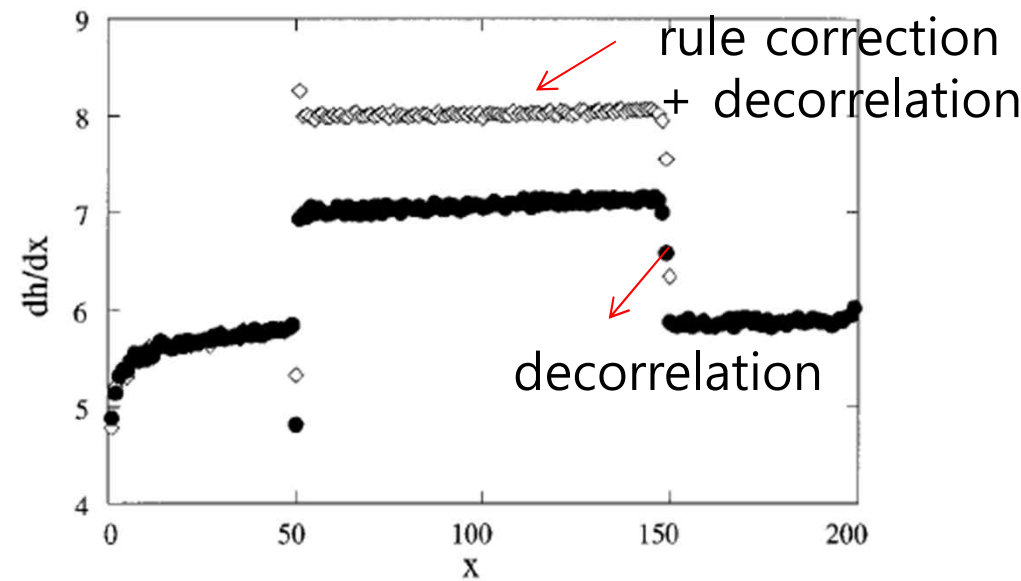


FIG. 14. The slopes of a sandpile with a shear region in the middle, including all the shear effects (diamonds) and just the transport decorrelation and the linear effect (circles).

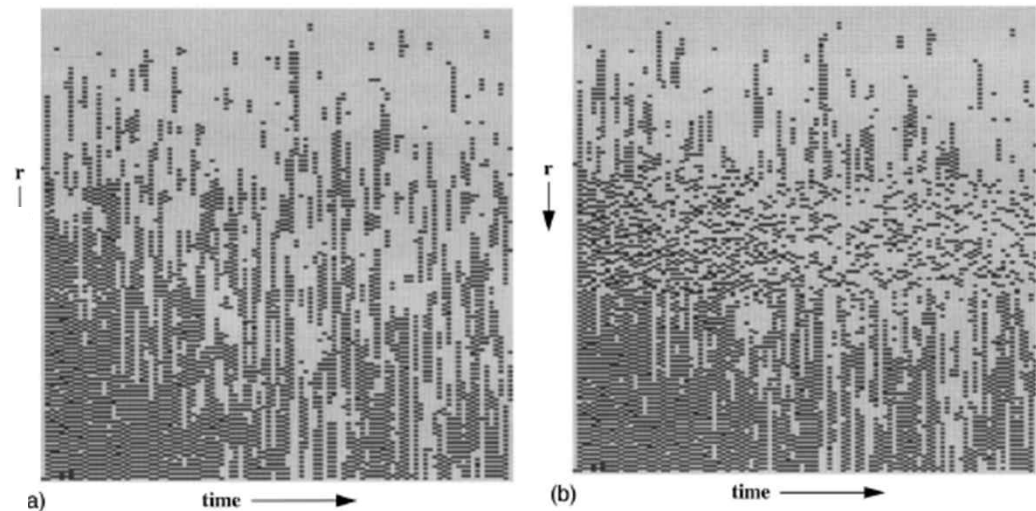
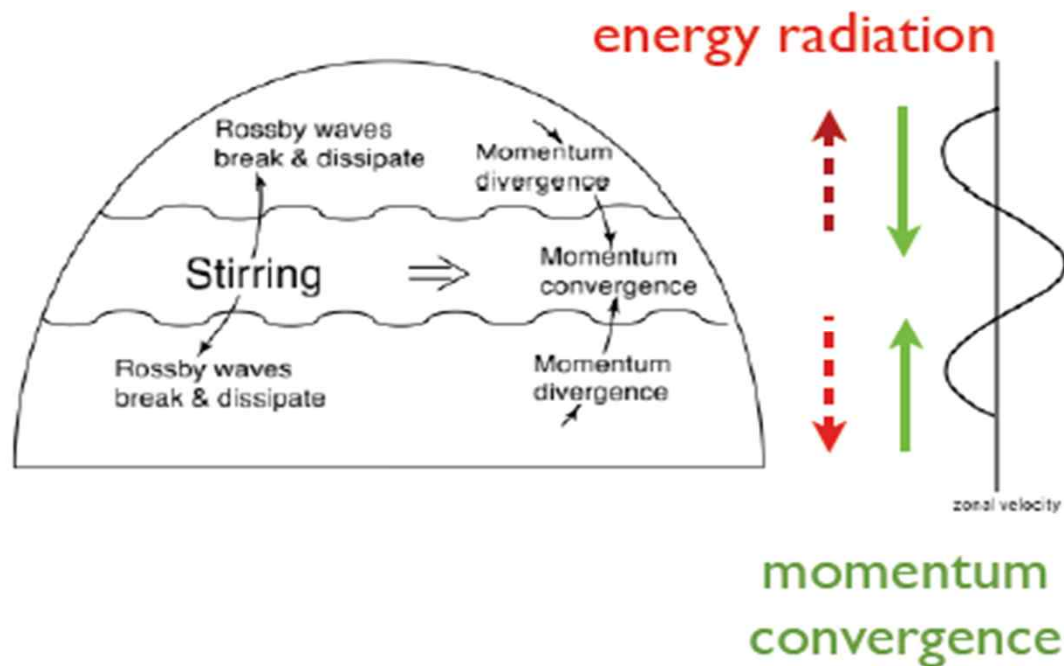


FIG. 11. Time evolution of the overturning sites (like Fig. 4). The avalanches do not appear continuous in time because only every 50th time step is shown. (a) The shear-free case shows avalanches of all lengths over the entire radius. (b) The case with sheared flow shows the coherent avalanches being decorrelated in the shear zone in the middle of the pile.

## → How do Zonal Flow Form?

### Simple Example: Zonally Averaged Mid-Latitude Circulation

- ▶ classic GFD example: Rossby waves + Zonal flow (c.f. Vallis '07, Held '01)
- ▶ Key Physics:



Rossby Wave:

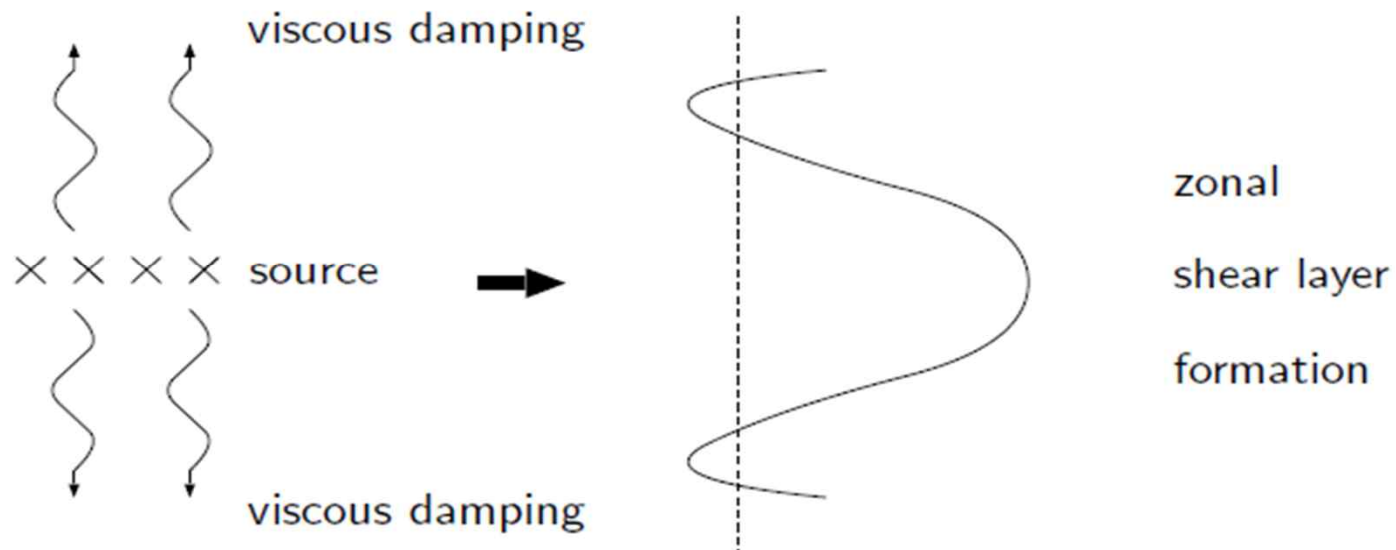
$$\omega_k = -\frac{\beta k_x}{k_\perp^2}$$

$$v_{gy} = 2\beta \frac{k_x k_y}{(k_\perp^2)^2}, \quad \langle \tilde{v}_y \tilde{v}_x \rangle = \sum_{\vec{k}} -k_x k_y |\hat{\phi}_{\vec{k}}|^2$$

$\therefore v_{gy} v_{phy} < 0 \rightarrow$  Backward wave!

→ Momentum convergence  
at stirring location

- ▶ ... “the central result that a rapidly rotating flow, when stirred in a localized region, will converge angular momentum into this region.” (I. Held, '01)
- ▶ Outgoing waves  $\Rightarrow$  incoming wave momentum flux

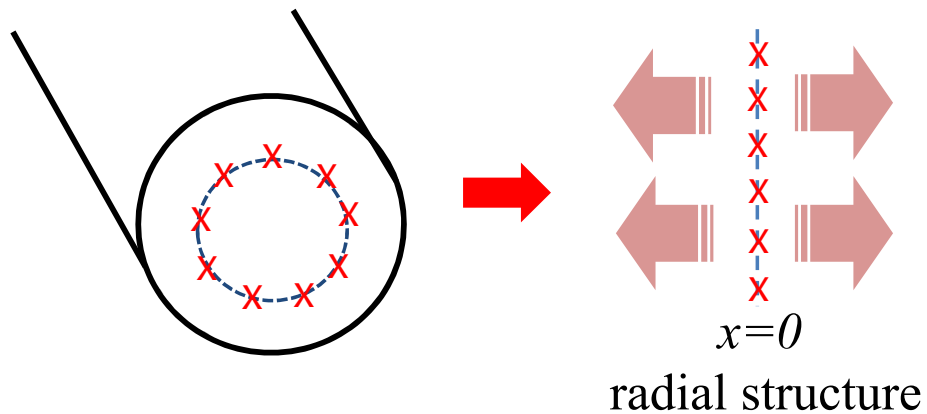


- ▶ Local Flow Direction (northern hemisphere):
  - ▶ eastward in source region
  - ▶ westward in sink region
  - ▶ set by  $\beta > 0$
  - ▶ Some similarity to spinodal decomposition phenomena  
 $\rightarrow$  Both 'negative diffusion' phenomena

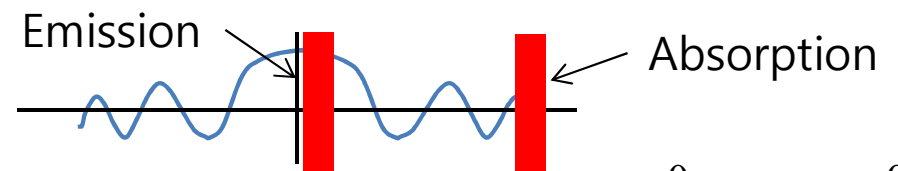
# Wave-Flows in Plasmas

## MFE perspective on Wave Transport in DW Turbulence

- localized source/instability drive intrinsic to drift wave structure



- couple to damping  $\leftrightarrow$  outgoing wave



$$- v_{gr} = -2\rho_s^2 \frac{k_\theta k_r v_*}{(1 + k_\perp^2 \rho_s^2)^2}$$

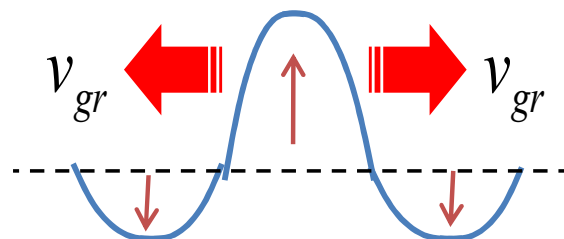
$$\langle v_{rE} v_{\theta E} \rangle = -\frac{c^2}{B^2} |\phi_{\vec{k}}|^2 k_r k_\theta < 0$$

$$x > 0 \Rightarrow v_{gr} > 0$$

$$x < 0 \Rightarrow v_{gr} < 0$$

$$v_* < 0 \Rightarrow k_r k_\theta > 0$$

- outgoing wave energy flux  $\rightarrow$  incoming wave momentum flux  $\rightarrow$  counter flow spin-up!



- zonal flow layers form at excitation regions

# Plasma Zonal Flows I

- What is a Zonal Flow? – Description?
    - $n = 0$  potential mode;  $m = 0$  (ZFZF), with possible sideband (GAM)
    - toroidally, poloidally symmetric  $E \times B$  shear flow
  - Why are Z.F.'s important?
    - Zonal flows are secondary (nonlinearly driven):
      - modes of minimal inertia (Hasegawa et. al.; Sagdeev, et. al. '78)
      - modes of minimal damping (Rosenbluth, Hinton '98)
      - drive zero transport ( $n = 0$ )
    - natural predators to feed off and retain energy released by gradient-driven microturbulence
- i.e. ZF's soak up turbulence energy

# Plasma Zonal Flows II

- Fundamental Idea:
  - Potential vorticity transport + 1 direction of translation symmetry  
→ **Zonal flow** in magnetized plasma / QG fluid
  - Kelvin's theorem is ultimate foundation
- Charge Balance → polarization charge flux → Reynolds force
  - Polarization charge  $\rightarrow -\rho^2 \nabla^2 \phi = n_{i,GC}(\phi) - n_e(\phi)$   
*polarization length scale*  $\downarrow$   $\downarrow$  *ion GC*  $\downarrow$  *electron density*
  - so  $\Gamma_{i,GC} \neq \Gamma_e \rightarrow \rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle \neq 0 \leftrightarrow$  'PV transport'  
 $\downarrow$  *polarization flux* → What sets cross-phase?
  - If 1 direction of symmetry (or near symmetry):
    - $\rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle = -\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle$  (Taylor, 1915)
    - $-\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle \rightarrow$  Reynolds force  $\rightarrow$  Flow



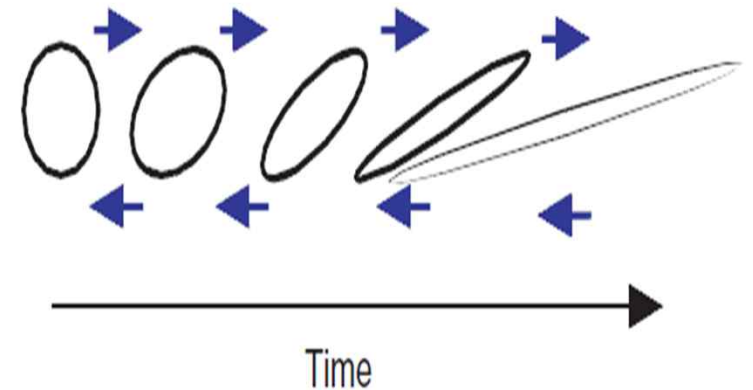
# Zonal Flows Shear Eddys I

- Coherent shearing: (Kelvin, G.I. Taylor, Dupree'66, BDT'90)

- radial scattering +  $\langle V_E \rangle'$  → hybrid decorrelation

- $k_r^2 D_\perp \rightarrow (k_\theta^2 \langle V_E \rangle'^2 D_\perp / 3)^{1/3} = 1 / \tau_c$

→ shearing restricts mixing scale!



- Other shearing effects (linear):

- spatial resonance dispersion:  $\omega - k_\parallel v_\parallel \Rightarrow \omega - k_\parallel v_\parallel - k_\theta \langle V_E \rangle' (r - r_0)$

- differential response rotation → especially for kinetic curvature effects

Response shift  
and dispersion



# Shearing II – Eddy Population

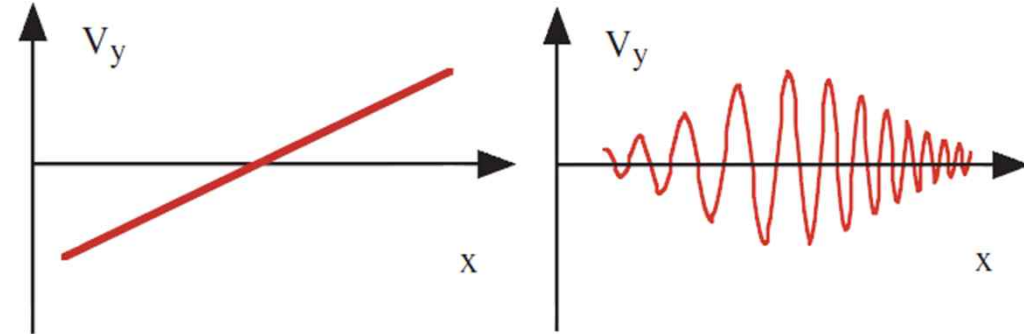
- Zonal Shears: Wave kinetics (Zakharov et. al.; P.D. et. al. '98, et. seq.)  
Coherent interaction approach (L. Chen et. al.)

- $dk_r / dt = -\partial(\omega + k_\theta V_E) / \partial r$ ;  $V_E = \langle V_E \rangle + \tilde{V}_E$

Mean shearing :  $k_r = k_r^{(0)} - k_\theta V_E' \tau$

Zonal :  $\langle \delta k_r^2 \rangle = D_k \tau$

Random shearing  $D_k = \sum_q k_\theta^2 |\tilde{V}'_{E,q}|^2 \tau_{k,q}$



- Wave ray chaos (not shear RPA) underlies  $D_k \rightarrow$  induced diffusion
- Induces wave packet dispersion
- Applicable to ZFs and GAMs

- Mean Field Wave Kinetics

$$\frac{\partial N}{\partial t} + (\vec{V}_{gr} + \vec{V}) \cdot \nabla N - \frac{\partial}{\partial r} (\omega + k_\theta V_E) \cdot \frac{\partial N}{\partial \vec{k}} = \gamma_{\vec{k}} N - C\{N\}$$

$$\Rightarrow \frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle = \gamma_{\vec{k}} \langle N \rangle - \langle C\{N\} \rangle \quad \leftarrow \text{Zonal shearing}$$

$\rightarrow$  Evolves population in response to shearing

# Shearing III

- Energetics: Books must Balance for Reynolds Stress-Driven Flows!
- Fluctuation Energy Evolution – Z.F. shearing

$$\int d\vec{k} \omega \left( \frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle \right) \Rightarrow \frac{\partial}{\partial t} \langle \varepsilon \rangle = - \int d\vec{k} V_{gr}(\vec{k}) D_{\vec{k}} \frac{\partial}{\partial k_r} \langle N \rangle \quad V_{gr} = \frac{-2k_r k_\theta V_* \rho_s^2}{(1 + k_\perp^2 \rho_s^2)^2}$$

Point: For  $d\langle \Omega \rangle / dk_r < 0$ , Z.F. shearing damps wave energy

- Fate of the Energy: Reynolds work on Zonal Flow

Modulational  $\partial_t \delta V_\theta + \partial(\delta \langle \tilde{V}_r \tilde{V}_\theta \rangle) / \partial r = \gamma \delta V_\theta$

Instability

$$\delta \langle \tilde{V}_r \tilde{V}_\theta \rangle \sim \frac{k_r k_\theta \delta N}{(1 + k_\perp^2 \rho_s^2)^2}$$

N.B.: Wave decorrelation essential:  
Equivalent to PV transport  
(c.f. Gurcan et. al. 2010)

- Bottom Line:

- Z.F. growth due to shearing of waves
- “Reynolds work” and “flow shearing” as relabeling → books balance
- Z.F. damping emerges as critical; MNR ‘97

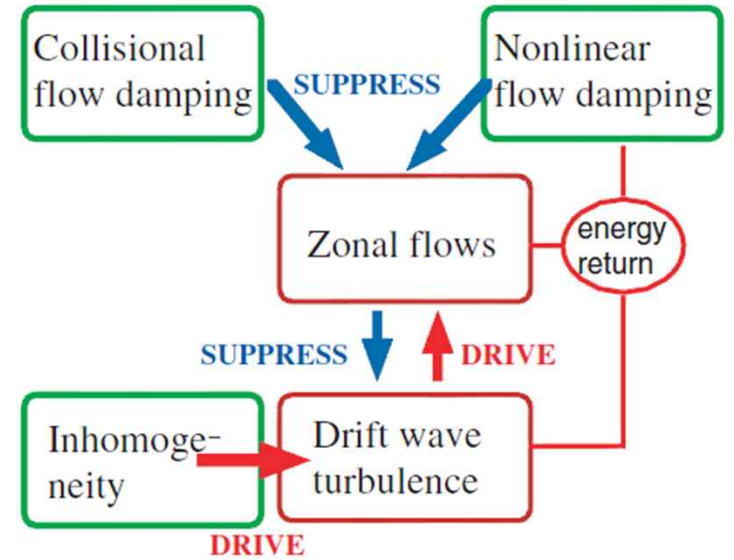
# Feedback Loops

- Closing the loop of shearing and Reynolds work
- Spectral 'Predator-Prey' Model



→ Self-regulating system → “ecology”

→ Mixing scale regulated



Prey → Drift waves,  $\langle N \rangle$

$$\frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle = \gamma_k \langle N \rangle - \frac{\Delta \omega_k}{N_0} \langle N \rangle^2$$

Predator → Zonal flow,  $|\phi_q|^2$

$$\frac{\partial}{\partial t} |\phi_q|^2 = \Gamma_q \left[ \frac{\partial \langle N \rangle}{\partial k_r} \right] |\phi_q|^2 - \gamma_d |\phi_q|^2 - \gamma_{NL} [|\phi_q|^2] |\phi_q|^2$$

# Feedback Loops II

- Recovering the 'dual cascade':

- Prey  $\rightarrow \langle N \rangle \sim \langle \Omega \rangle \Rightarrow$  induced diffusion to high  $k_r$   $\left\{ \begin{array}{l} \Rightarrow \text{Analogous} \rightarrow \text{forward potential} \\ \text{enstrophy cascade; PV transport} \end{array} \right.$
- Predator  $\rightarrow |\phi_q|^2 \sim \langle V_{E,\theta}^2 \rangle \left\{ \begin{array}{l} \Rightarrow \text{growth of } n=0, m=0 \text{ Z.F. by turbulent Reynolds work} \\ \Rightarrow \text{Analogous} \rightarrow \text{inverse energy cascade} \end{array} \right.$

- Mean Field Predator-Prey Model

(P.D. et. al. '94, DI<sup>2</sup>H '05)

$$\frac{\partial}{\partial t} N = \gamma N - \alpha V^2 N - \Delta \omega N^2$$

$$\frac{\partial}{\partial t} V^2 = \alpha N V^2 - \gamma_d V^2 - \gamma_{NL} (V^2) V^2$$

System Status

State	No flow	Flow ( $\alpha_2 = 0$ )	Flow ( $\alpha_2 \neq 0$ )
$N$ (drift wave turbulence level)	$\frac{\gamma}{\Delta \omega}$	$\frac{\gamma_d}{\alpha}$	$\frac{\gamma_d + \alpha_2 \gamma \alpha^{-1}}{\alpha + \Delta \omega \alpha_2 \alpha^{-1}}$
$V^2$ (mean square flow)	0	$\frac{\gamma}{\alpha} - \frac{\Delta \omega \gamma_d}{\alpha^2}$	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\alpha + \Delta \omega \alpha_2 \alpha^{-1}}$
Drive/excitation mechanism	Linear growth	Linear growth	Linear growth Nonlinear damping of flow
Regulation/inhibition mechanism	Self-interaction of turbulence	Random shearing, self-interaction	Random shearing, self-interaction
Branching ratio $\frac{V^2}{N}$	0	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\gamma_d}$	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\gamma_d + \alpha_2 \gamma \alpha^{-1}}$
Threshold (without noise)	$\gamma > 0$	$\gamma > \Delta \omega \gamma_d \alpha^{-1}$	$\gamma > \Delta \omega \gamma_d \alpha^{-1}$

# The Crux of the Matter, ...



## IV) Pattern Competition!

- Two secondary structures at work:
  - Zonal flow → quasi-coherent, regulates transport via shearing, self-generated, limits scale
  - Avalanche → stochastic, induces extended transport events, enhances scale
- Both flux driven... by relaxation  $\nabla T, \nabla n, etc$
- Nature of co-existence?? – who wins?

# **IV) Staircases**

**Single Layer →**

**Lattice of Layers + Avalanches**

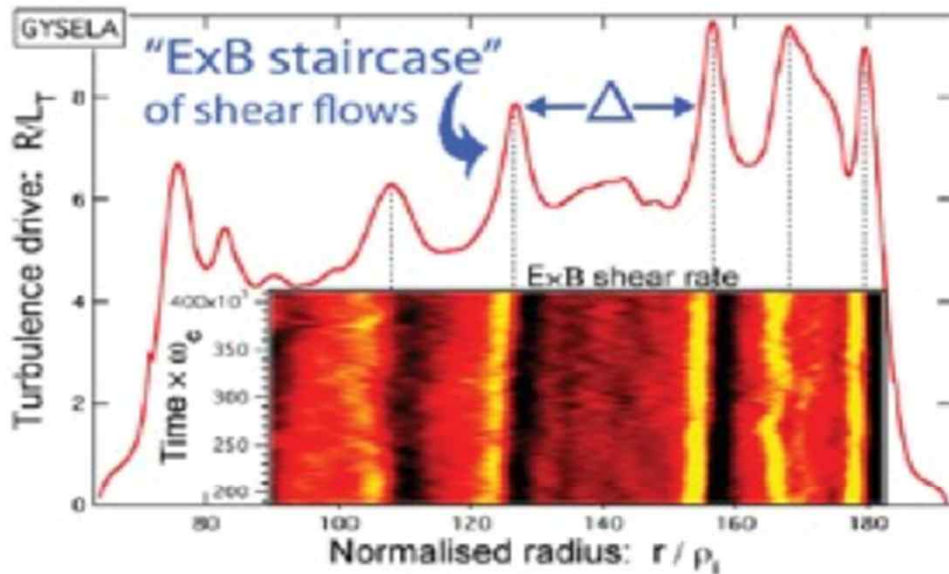


# Motivation: ExB staircase formation

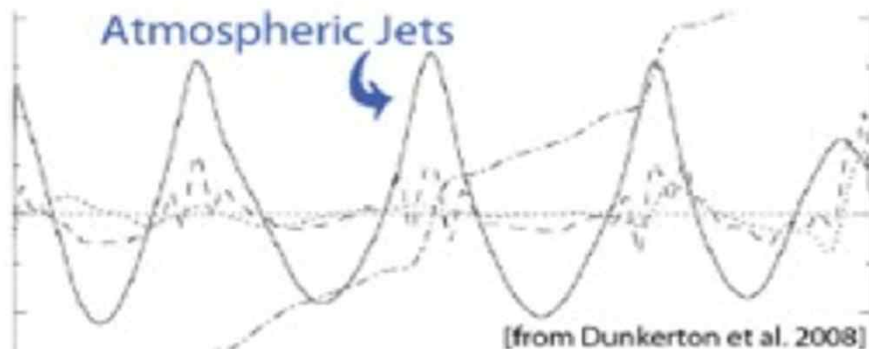
- ExB flows often observed to self-organize in magnetized plasmas

- ‘ExB staircase’ is observed to form

(G. Dif-Pradalier, P.D. et al. Phys. Rev. E. '10)



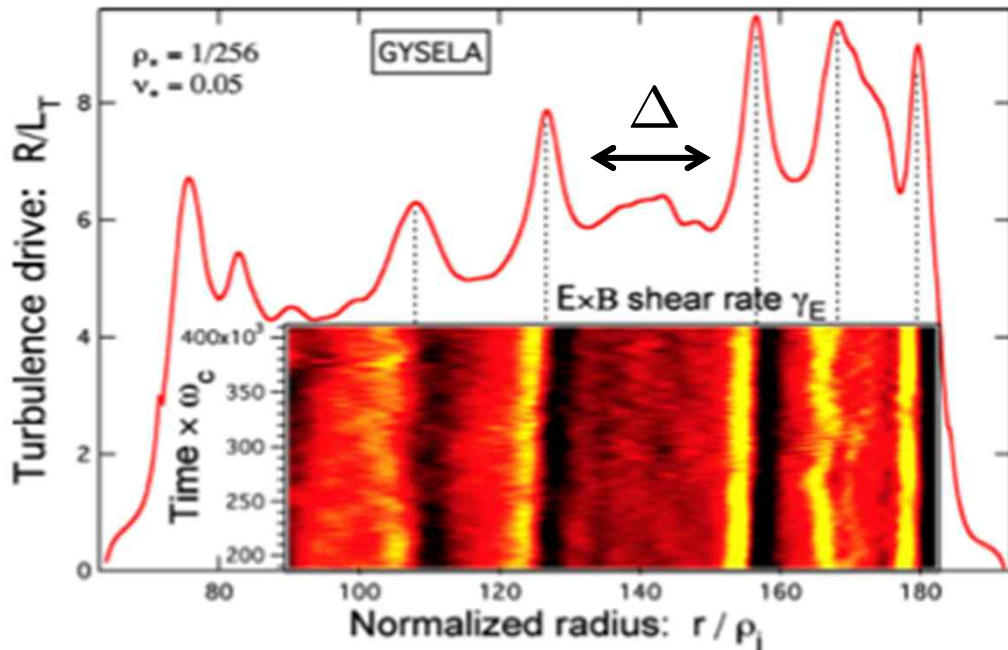
- flux driven, full f simulation
- Quasi-regular pattern of shear layers and profile corrugations
- Region of the extent  $\Delta \gg \Delta_c$  interspersed by temp. corrugation/ExB jets  
→ ExB staircases



- so-named after the analogy to PV staircases and atmospheric jets
- Step spacing → avalanche distribution outer-scale

# ExB Staircase

- Important feature: co-existence of **shear flows** and **avalanches**



- Seem mutually exclusive ?

→ strong ExB shear prohibits transport

→ avalanches smooth out corrugations

- Can co-exist by separating regions into:

1. avalanches of the size  $\Delta \gg \Delta_c$

2. localized strong corrugations + jets

- How understand the formation of ExB staircase??

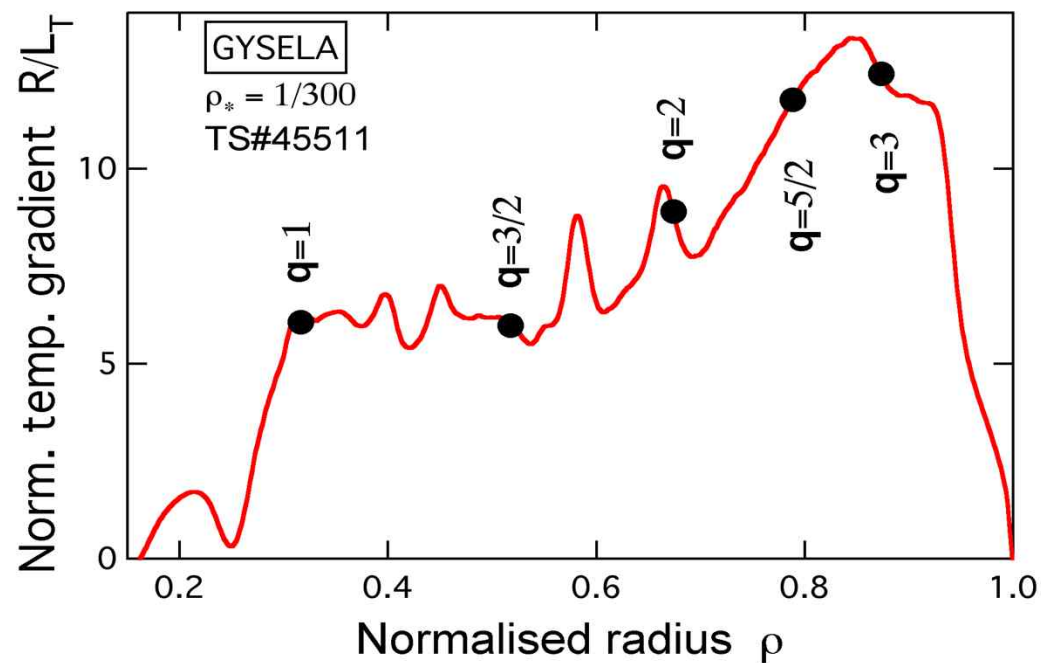
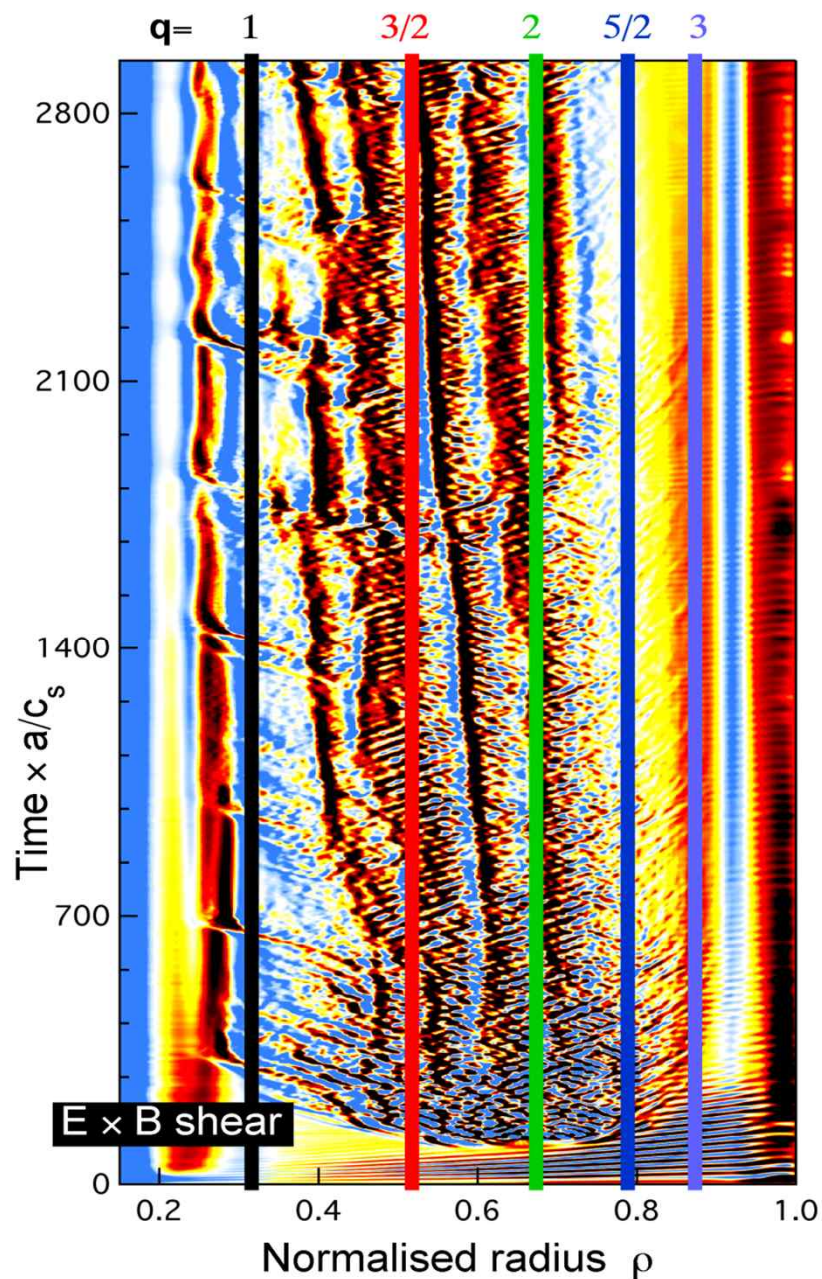
- What is process of self-organization linking avalanche scale to ExB step scale?

i.e. **how explain the emergence of the step scale ?**

- Some similarity to phase ordering in fluids

# Corrugation points and rational surfaces

- No apparent relation



➔ Step location not tied to magnetic geometry structure in a simple way

(GYSELA Simulation)

# → Are they real?

### Direct exp. characterisation difficult:

flows, profiles & gradients

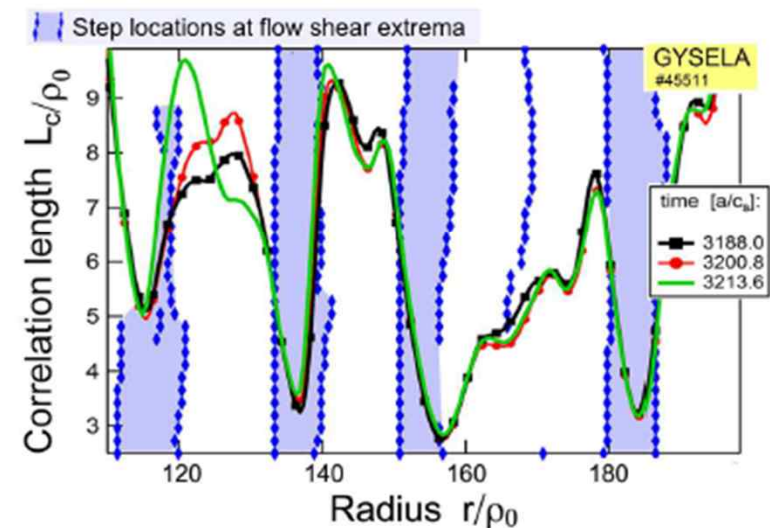
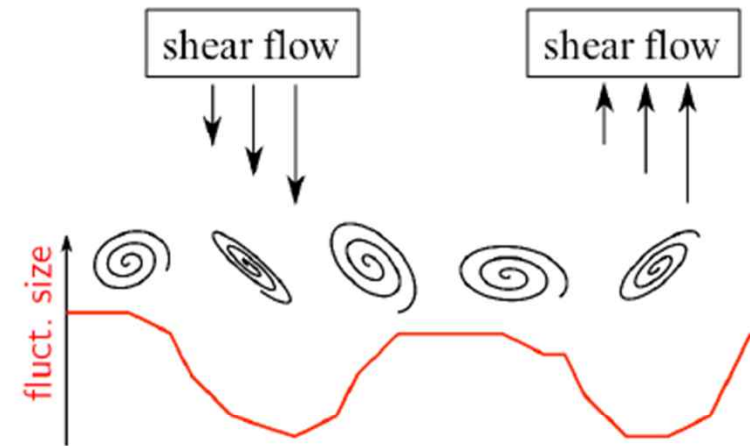
### Shear layers in staircase:

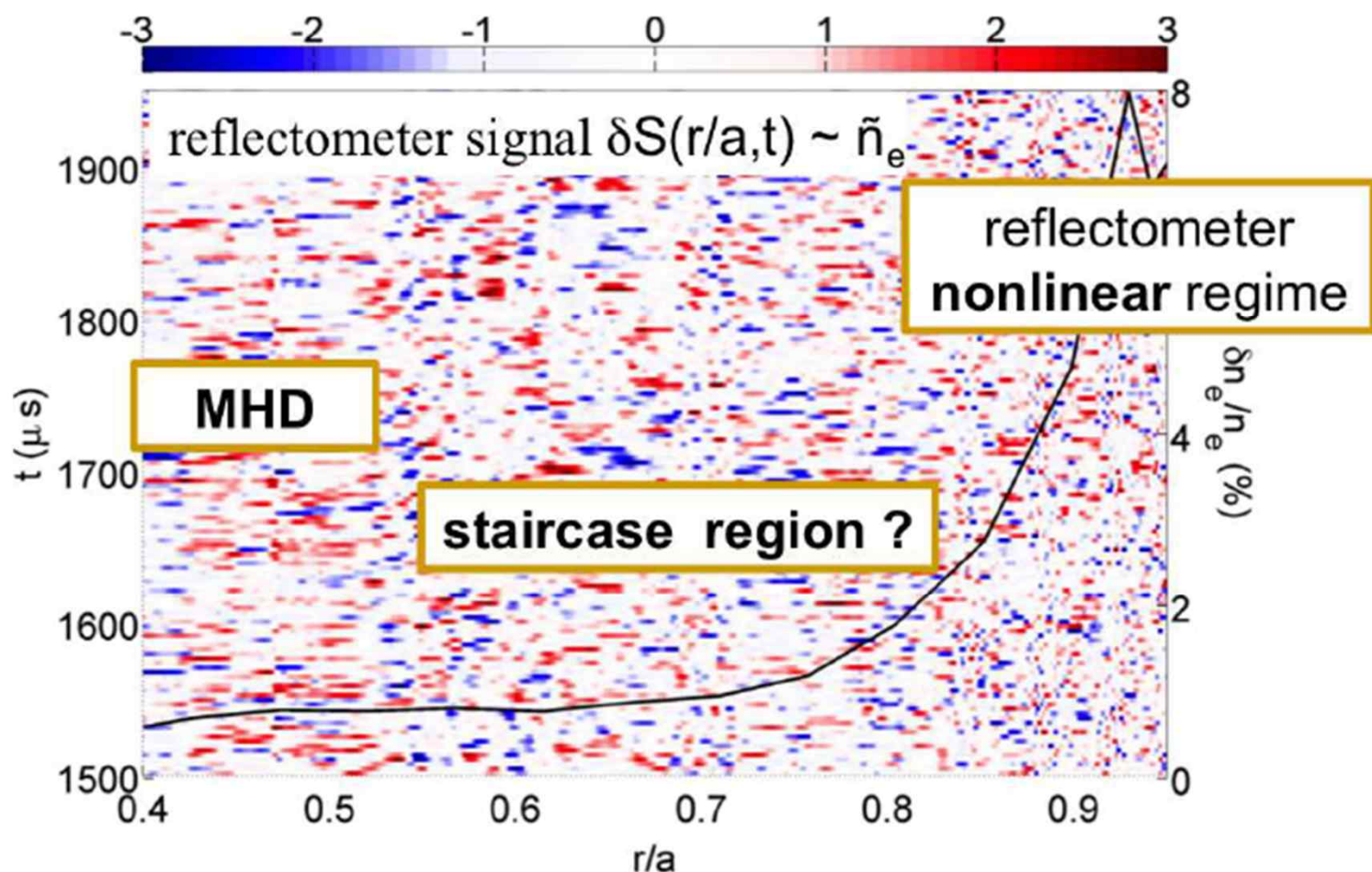
- eddies stretched, tilted, fragmented
- predict **quasi-periodic decorrelation** turbulent fluct.

$$C_\phi(r, \theta, t, \delta r) = \frac{\langle \tilde{\phi}(r, \theta, t) \tilde{\phi}(r + \delta r, \theta, t) \rangle_\tau}{[\langle \tilde{\phi}(r, \theta, t)^2 \rangle_\tau \langle \tilde{\phi}(r + \delta r, \theta, t)^2 \rangle_\tau]^{1/2}}$$

→  $C_\phi = 1/2$  when  $\delta r = L_c$

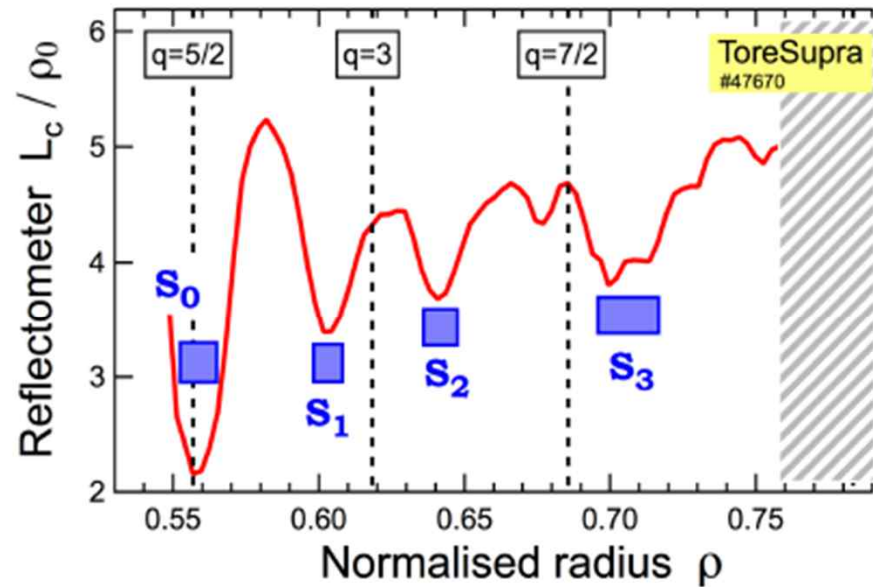
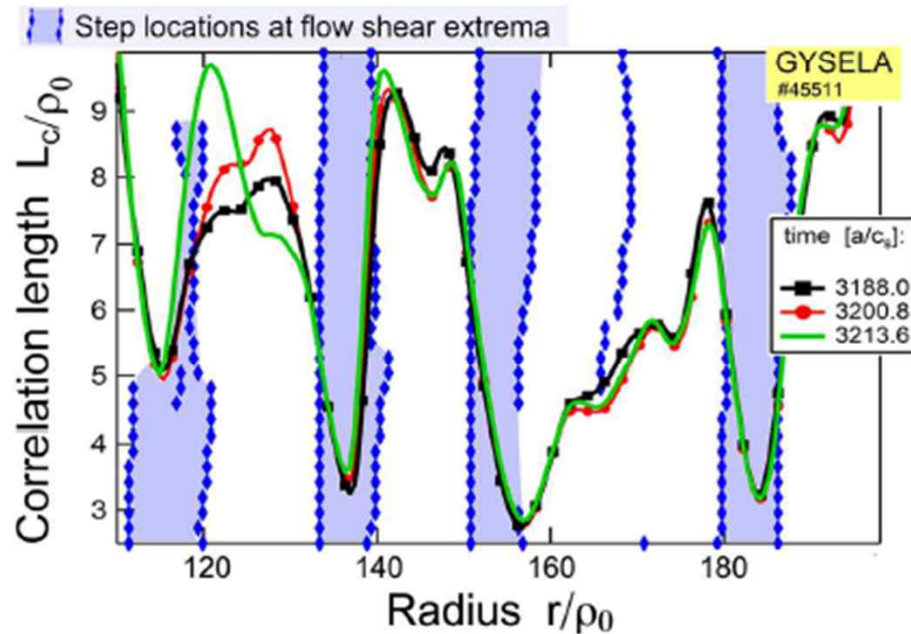
→ **testable** with fast-sweeping reflectometry





fast-sweeping reflectometry on **Tore Supra** [Clairet RSI 10, Hornung PPCF 13]

- ➔ localised measure, fast ( $\sim \mu s$ ), sweeping in X-mode : full radial profile  $\delta n$
- ➔ routinely estimate  $L_c$



▶ Large set: 179 staircase steps, so far [Dif-Pradalier PRL 15]

- quasi-regularly spaced radial local minima of  $L_c$
- reproducible: not random & robust w.r.t. definition of  $L_c$
- tilt consistent with flow shear around minima
- no correlation to local  $q$  rationals  $\Rightarrow$  rules MHD out
- consistent width [ $\sim 10\rho_i$ ] & spacing [meso.] of local  $L_c$  minima

- How to understand it?

- Topic for a (theoretical) seminar...

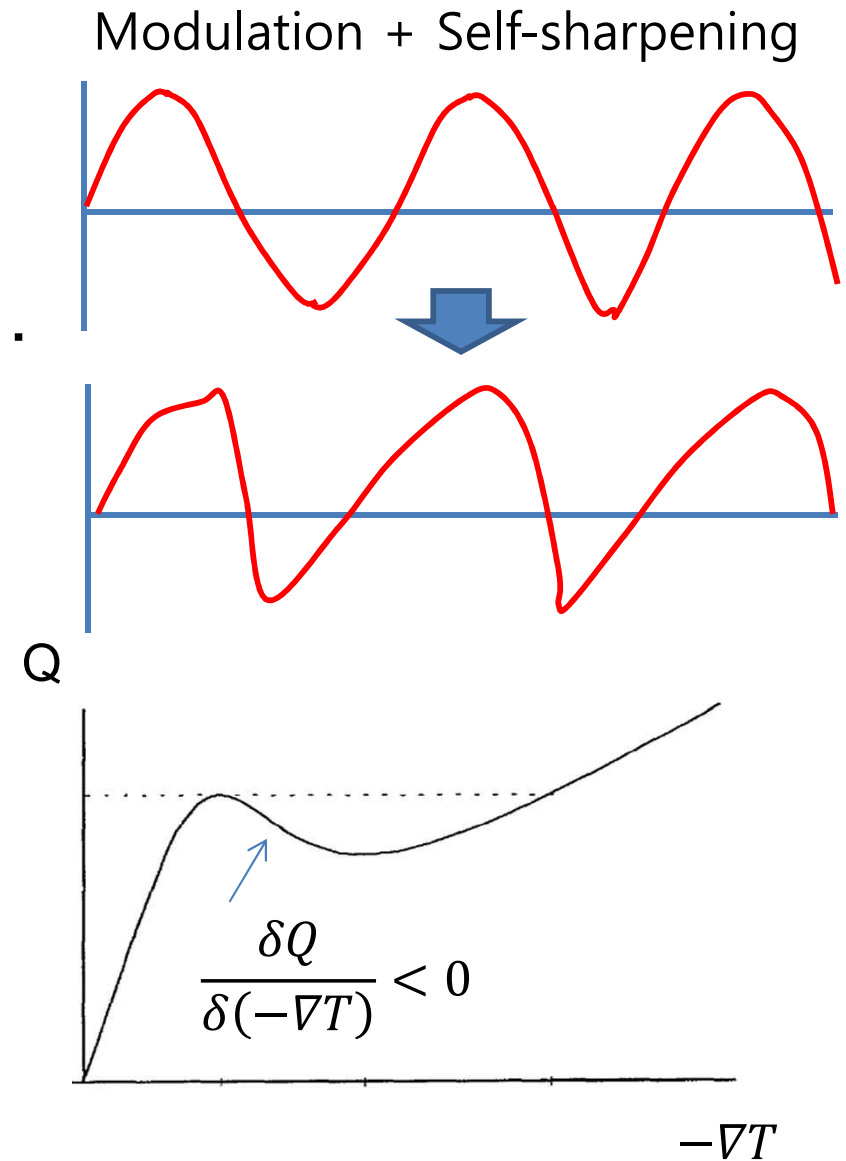
- Bi-stable Modulations: }
  - Inhomogeneous mixing } } key

→ “negative diffusion/viscosity”

c.f. also Cahn-Hilliard equation

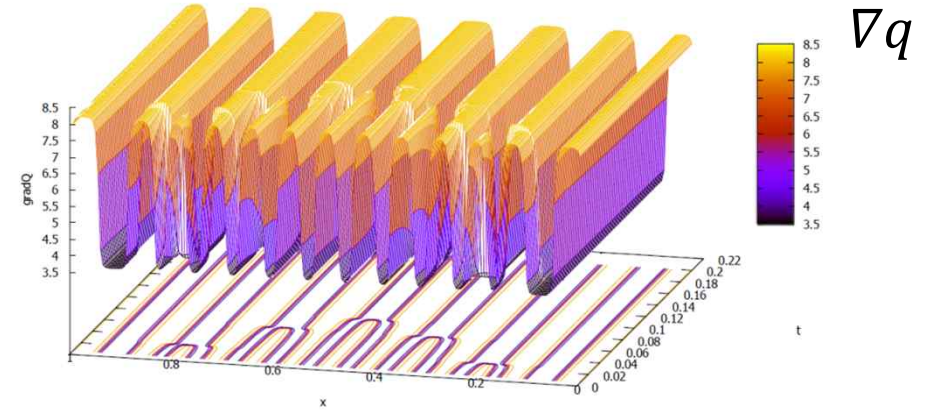
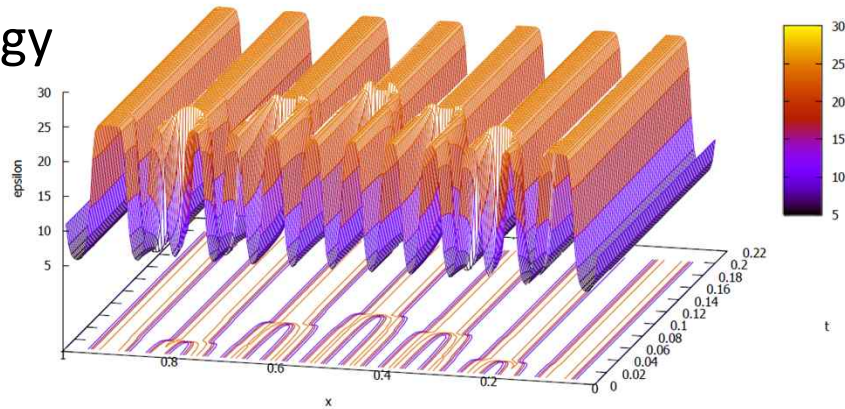
How?:

- Bistable flux  $\rightarrow I_{mix}$  (Ashourvan, P.D., 2016-PRE, PoP)
- Jams, ala' traffic flow (Kosuga, P.D., Gurcan – PRL2012)

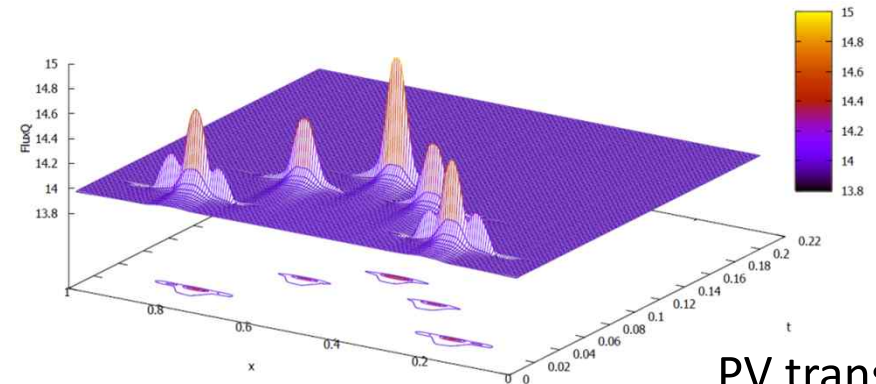
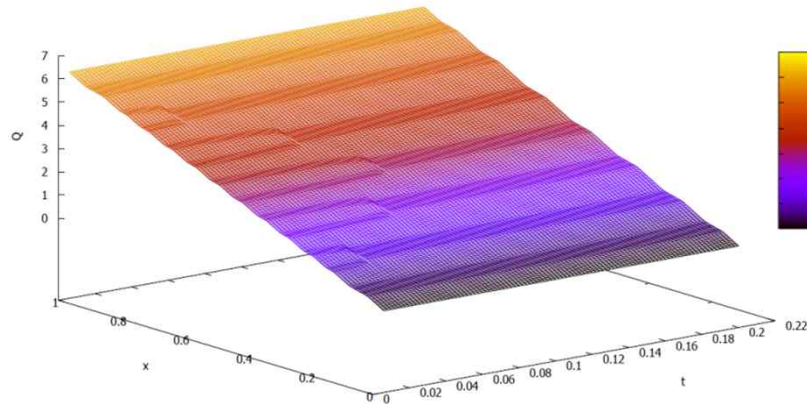


# Staircase Model – Formation and Merger (QG-HM)

Energy



$q$



PV transport

$$\left. \begin{array}{l} -\epsilon \\ -Q_y \end{array} \right\} \text{top} \quad \left. \begin{array}{l} -Q \\ -\Gamma_q \end{array} \right\} \text{bottom}$$

Note later staircase mergers induce strong flux episodes!

↔ Avalanching connection?!

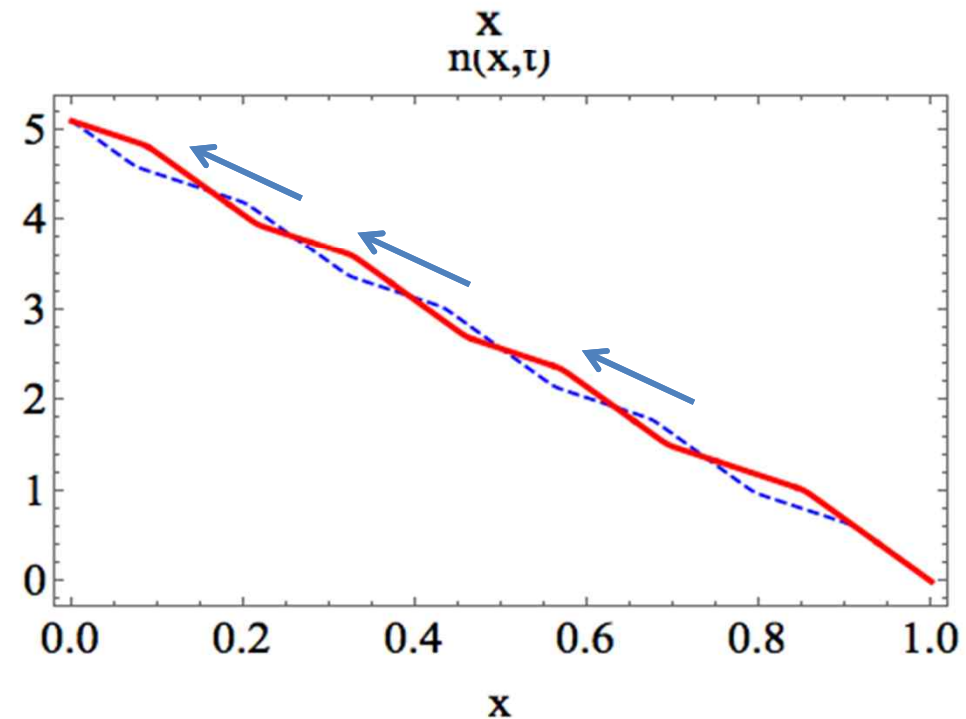
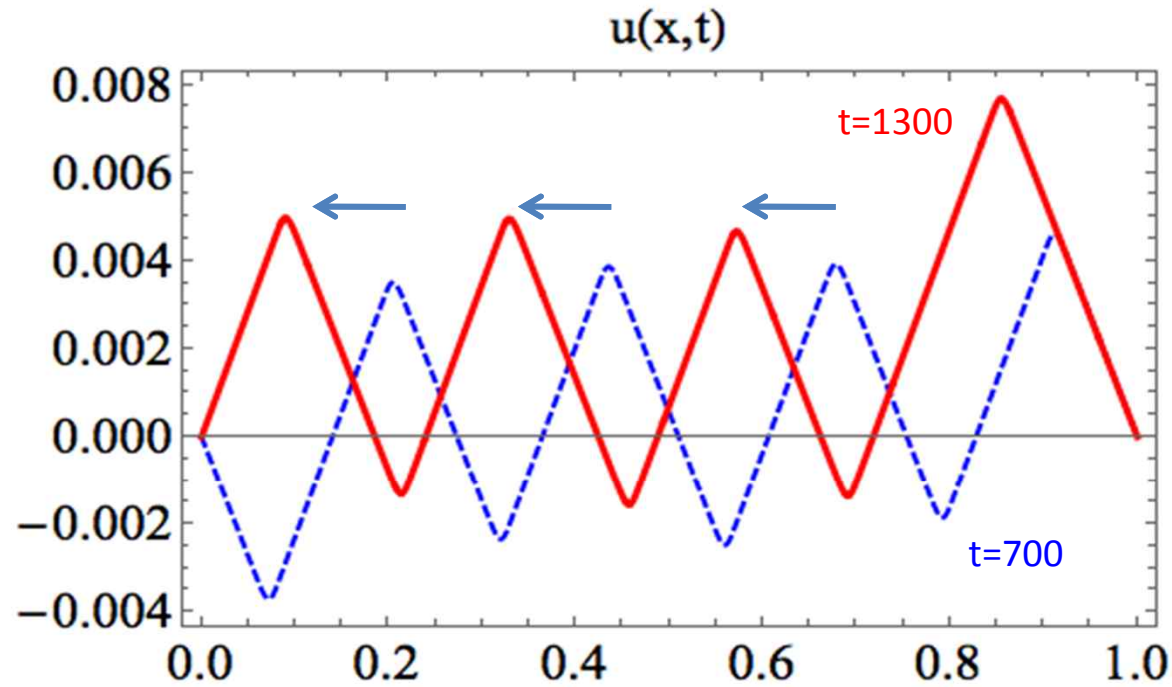


# Staircase are Dynamic

- Shear pattern detaches and delocalizes from its initial position of formation.
- Mesoscale shear lattice moves in the up-gradient direction. Shear layers condense and disappear at  $x=0$ .
- Shear lattice propagation takes place over much longer times. From  $t \sim O(10)$  to  $t \sim (10^4)$ .
- Barriers in density profile move upward in an “Escalator-like” motion.

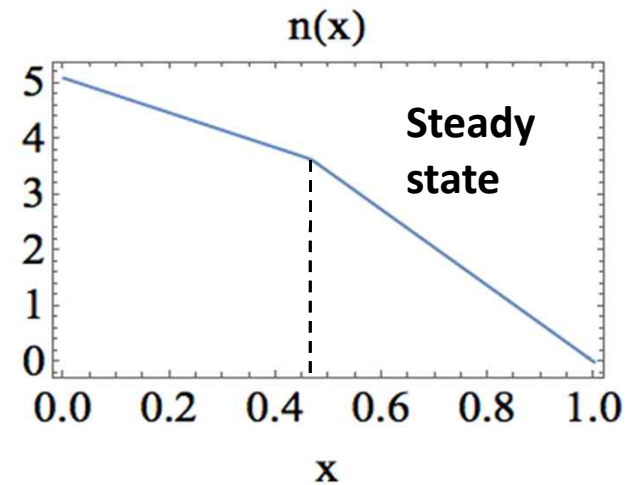
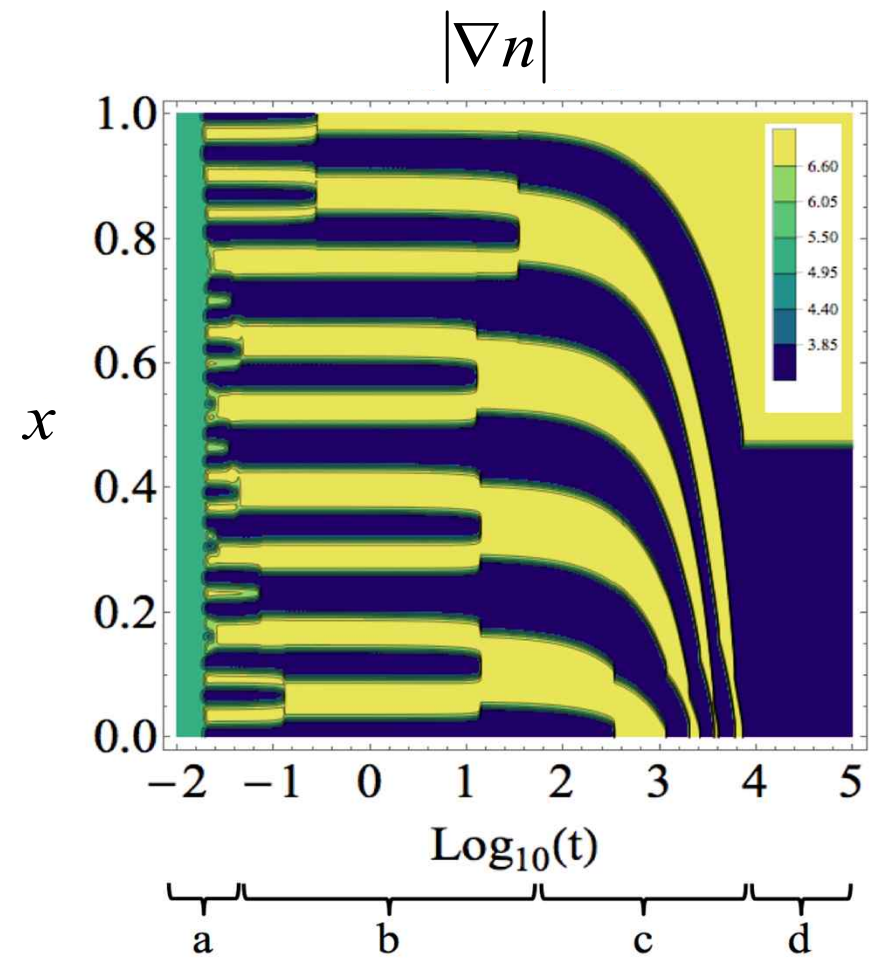
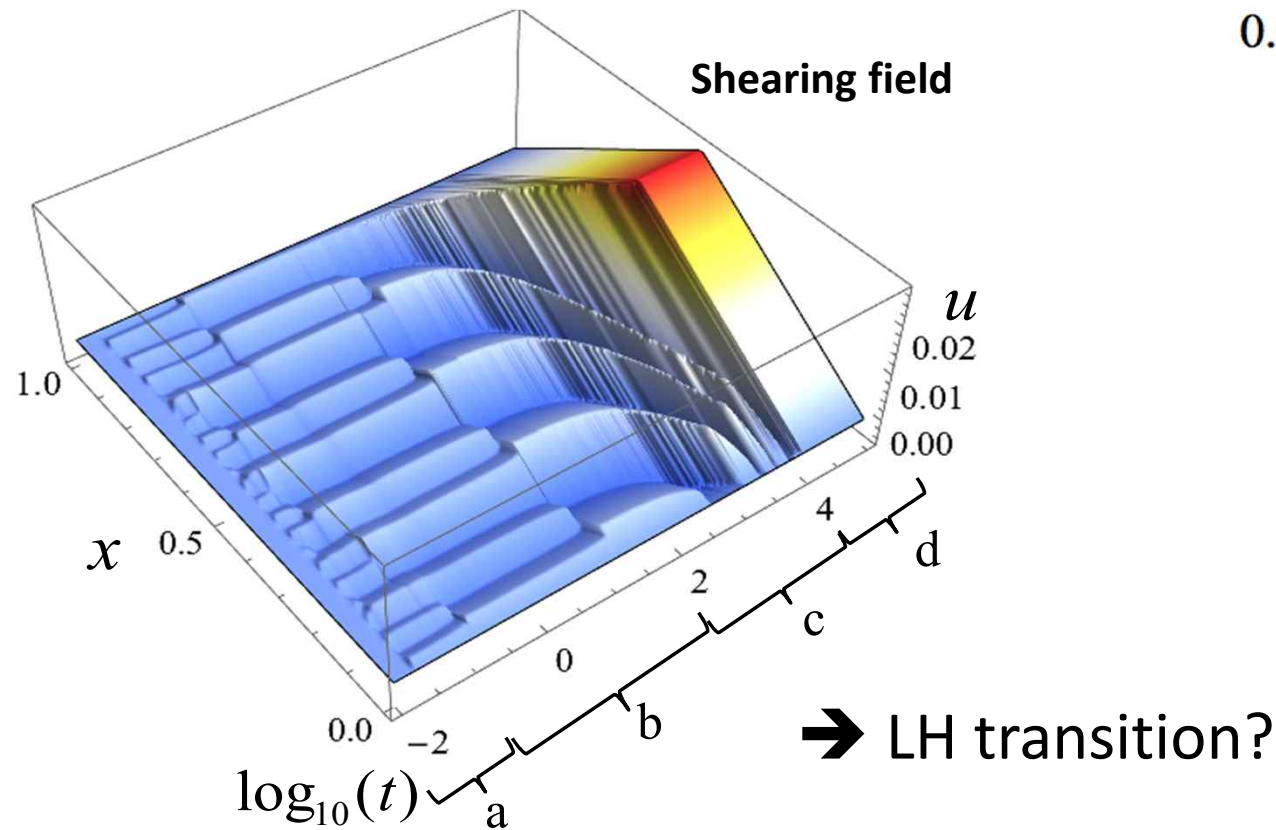
→ **Macroscopic Profile Re-structuring**

↕  
**‘Non-locality’**



# Macro-Barriers via Condensation

- (a) Fast merger of micro-scale SC. Formation of meso-SC.
- (b) Meso-SC coalesce to barriers
- (c) Barriers propagate along gradient, condense at boundaries
- (d) Macro-scale stationary profile



# Conclusion, of sorts

- Scale selection problem in confined, magnetized plasmas is intrinsically a pattern competition
- Staircase:
  - Naturally reconciles avalanche and shear layers
  - Allows ‘predator and prey’ co-existence via spatial decomposition to separate domains
  - Realizes ‘non-local’ dynamics in transport

# Conclusion, of sorts

- Where is confinement physics going?
  - Considerable success in understanding and predicting transport, including bifurcations
  - Evolving:
    - Confinement → Power Handling
    - Transport Reduction → Transport control
  - Need address interaction of turbulence + macro-stability, turbulence with PMI
  - Boundary optimization, now the central problem

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